

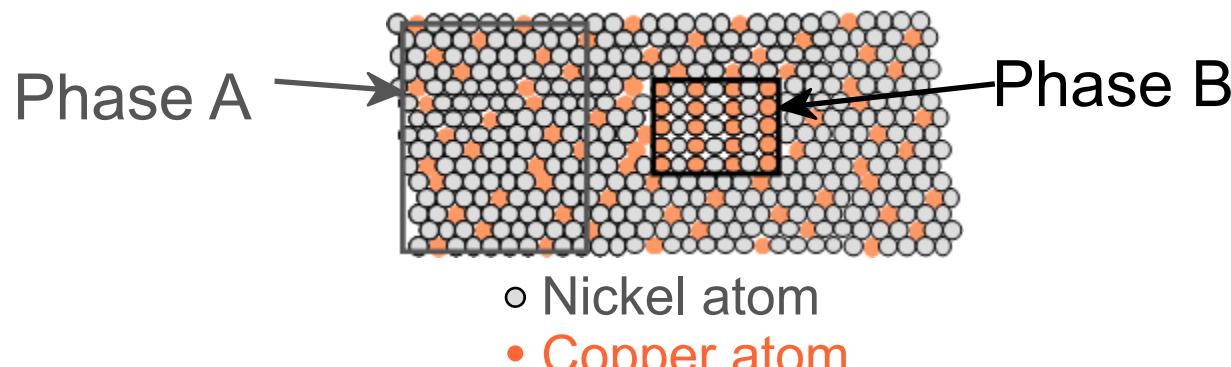
# Chapter 11: Phase Diagrams

## ISSUES TO ADDRESS...

- When we combine two elements...  
what is the resulting equilibrium state?
- In particular, if we specify...
  - the composition (e.g., wt% Cu - wt% Ni), and
  - the temperature ( $T$ )

then...

- How many phases form?
- What is the composition of each phase?
- What is the amount of each phase?



# Phase Equilibria: Solubility Limit

- **Solution** – solid, liquid, or gas solutions, single phase
- **Mixture** – more than one phase

Adapted from Fig. 11.1,  
*Callister & Rethwisch 9e.*

- **Solubility Limit:**  
Maximum concentration for which only a single phase solution exists.

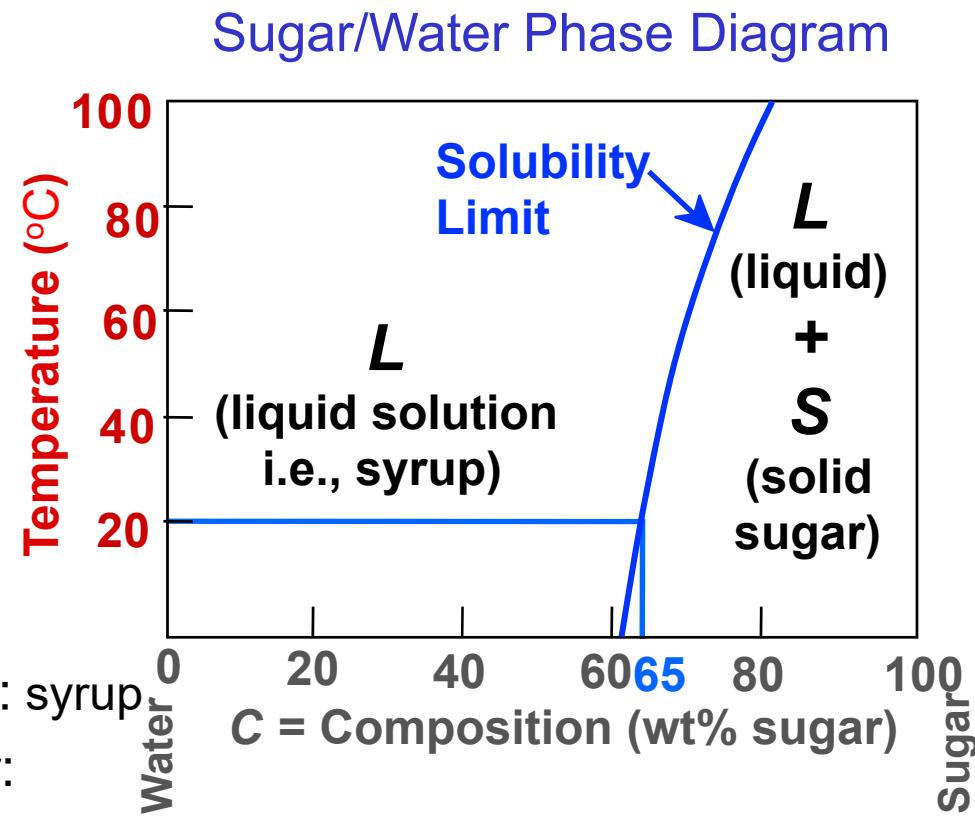
Question: What is the solubility limit for sugar in water at 20 °C ?

Answer: 65 wt% sugar.

At 20° C, if  $C < 65$  wt% sugar: syrup

At 20° C, if  $C > 65$  wt% sugar:

syrup + sugar

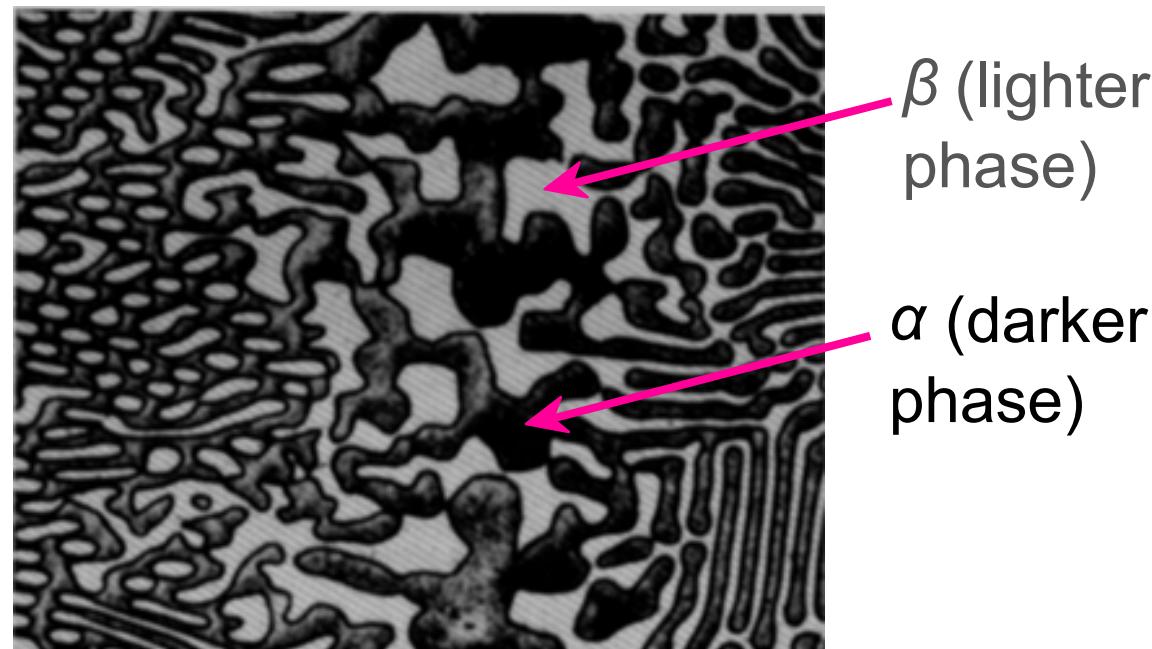


# Components and Phases

- Components:  
The elements or compounds which are present in the alloy  
(e.g., Al and Cu)
- Phases:  
The physically and chemically distinct material regions  
that form (e.g.,  $\alpha$  and  $\beta$ ).

Aluminum-Copper Alloy

Adapted from chapter-opening photograph,  
Chapter 9, Callister,  
*Materials Science & Engineering: An Introduction*, 3e.



# Effect of Temperature & Composition

- Altering  $T$  can change # of phases: path  $A$  to  $B$ .
- Altering  $C$  can change # of phases: path  $B$  to  $D$ .

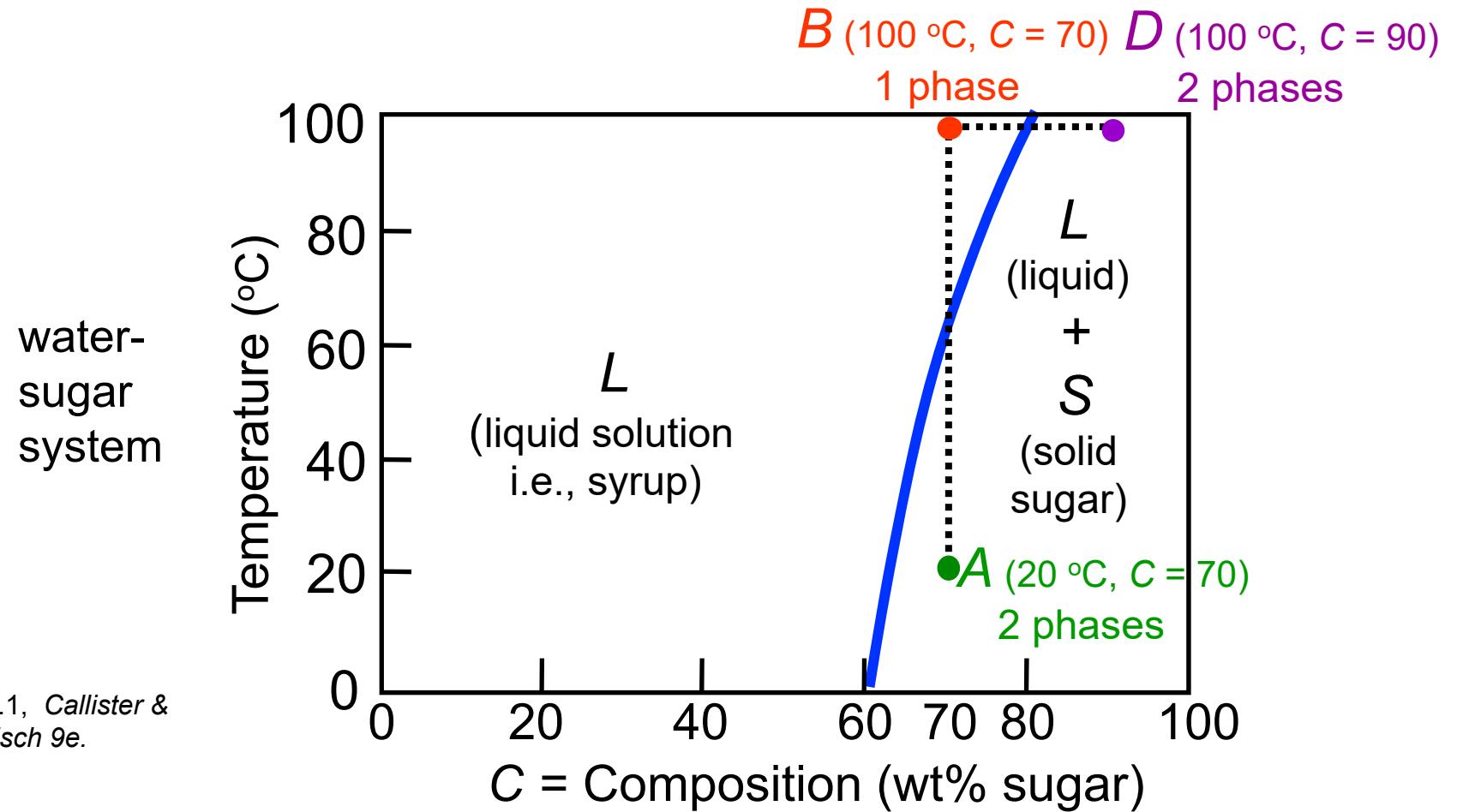


Fig. 11.1, Callister & Rethwisch 9e.

# Criteria for Solid Solubility

Simple system (e.g., Ni-Cu solution)

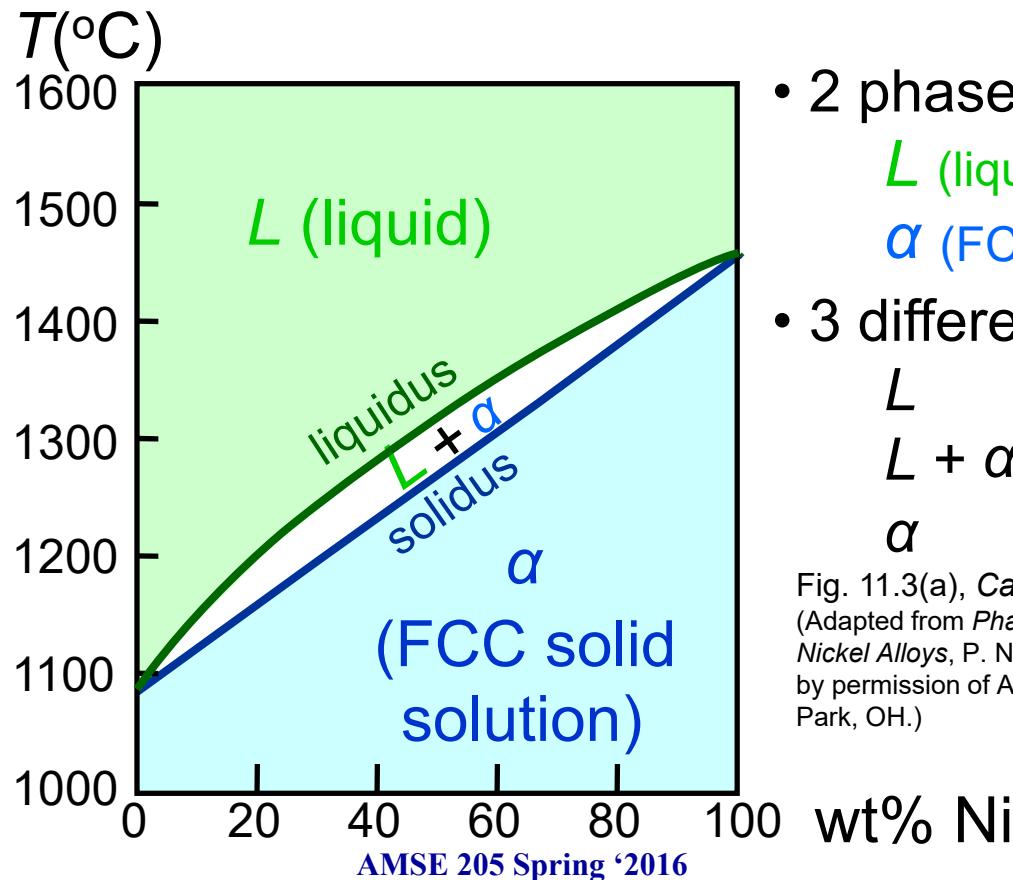
	Crystal Structure	electroneg	$r$ (nm)
Ni	FCC	1.9	0.1246
Cu	FCC	1.8	0.1278

- Both have the same crystal structure (FCC) and have similar electronegativities and atomic radii ([W. Hume – Rothery rules](#)) suggesting high mutual solubility.
- Ni and Cu are totally soluble in one another for all proportions.

# Phase Diagrams

- Indicate phases as a function of  $T$ ,  $C$ , and  $P$ .
- For this course:
  - binary systems: just 2 components.
  - independent variables:  $T$  and  $C$  ( $P = 1 \text{ atm}$  is almost always used).

Phase Diagram for Cu-Ni system



- 2 phases:
  - $L$  (liquid)
  - $\alpha$  (FCC solid solution)
- 3 different phase fields:
  - $L$
  - $L + \alpha$
  - $\alpha$

Fig. 11.3(a), Callister & Rethwisch 9e.  
(Adapted from *Phase Diagrams of Binary Nickel Alloys*, P. Nash, Editor, 1991. Reprinted by permission of ASM International, Materials Park, OH.)

# Isomorphous Binary Phase Diagram

- Phase diagram: Cu-Ni system.
- System is:
  - **binary**  
*i.e.*, 2 components: Cu and Ni.
  - **isomorphous**  
*i.e.*, complete solubility of one component in another;  $\alpha$  phase field extends from 0 to 100 wt% Ni.

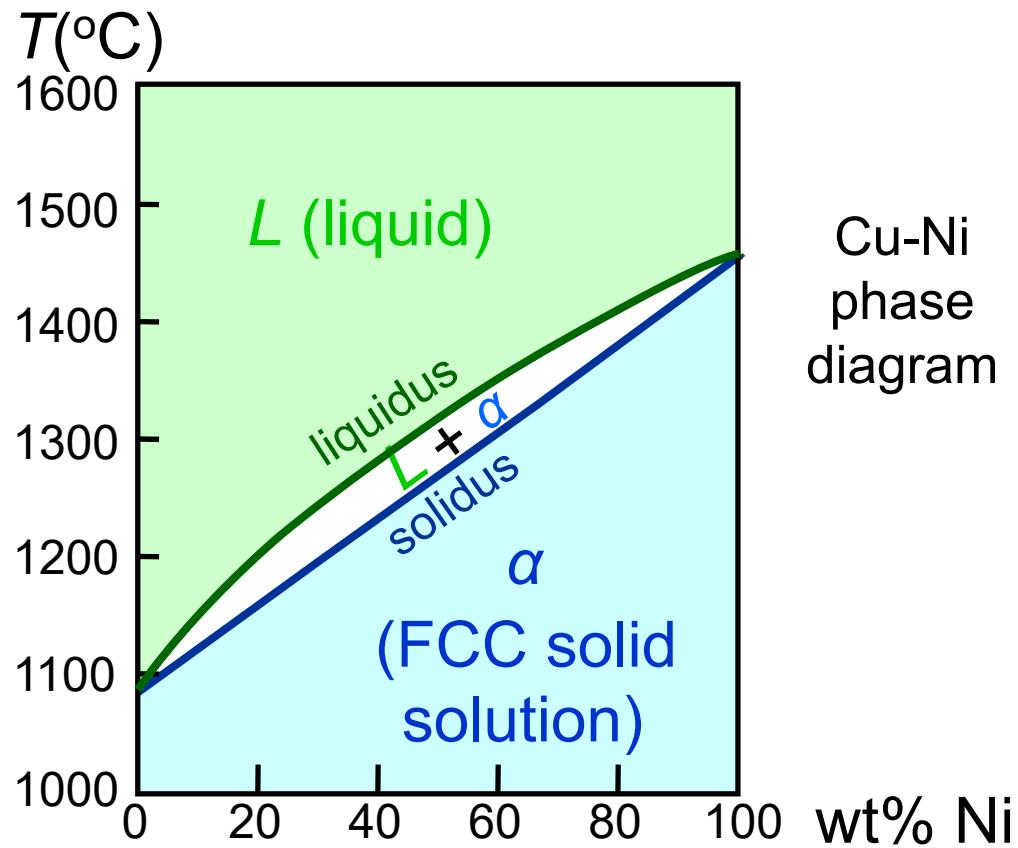


Fig. 11.3(a), Callister & Rethwisch 9e.  
(Adapted from *Phase Diagrams of Binary Nickel Alloys*, P. Nash, Editor, 1991. Reprinted by permission of ASM International, Materials Park, OH.)

# Phase Diagrams: Determination of phase(s) present

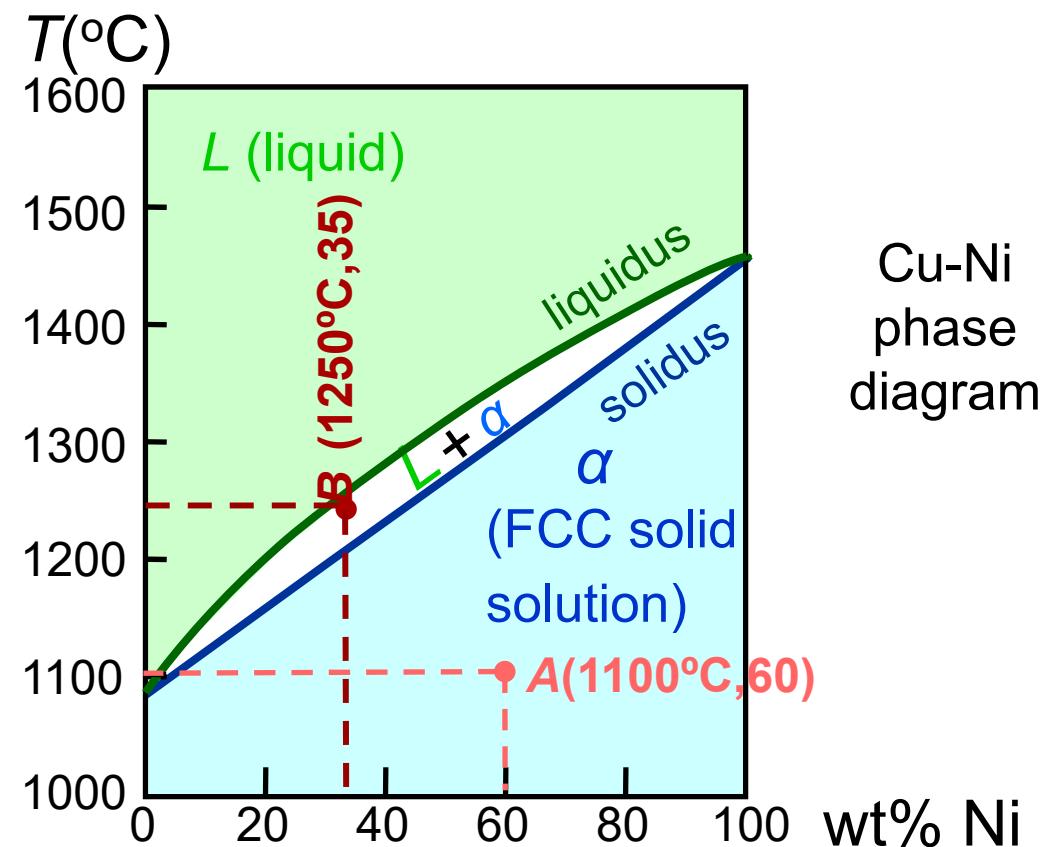
- Rule 1: If we know  $T$  and  $C_o$ , then we know:  
-- which phase(s) is (are) present.

- Examples:

*A*(1100 °C, 60 wt% Ni):  
1 phase:  $\alpha$

*B*(1250 °C, 35 wt% Ni):  
2 phases:  $L + \alpha$

Fig. 11.3(a), Callister & Rethwisch 9e.  
(Adapted from *Phase Diagrams of Binary Nickel Alloys*, P. Nash, Editor, 1991. Reprinted by permission of ASM International, Materials Park, OH.)



# Phase Diagrams: Determination of phase compositions

- Rule 2: If we know  $T$  and  $C_0$ , then we can determine:
  - the composition of each phase.
- Examples:

Consider  $C_0 = 35$  wt% Ni

At  $T_A = 1320$  °C :

Only Liquid ( $L$ ) present

$C_L = C_0$  (= 35 wt% Ni)

At  $T_D = 1190$  °C :

Only Solid ( $\alpha$ ) present

$C_\alpha = C_0$  (= 35 wt% Ni)

At  $T_B = 1250$  °C :

Both  $\alpha$  and  $L$  present

$C_L = C_{\text{liquidus}}$  (= 32 wt% Ni)

$C_\alpha = C_{\text{solidus}}$  (= 43 wt% Ni)

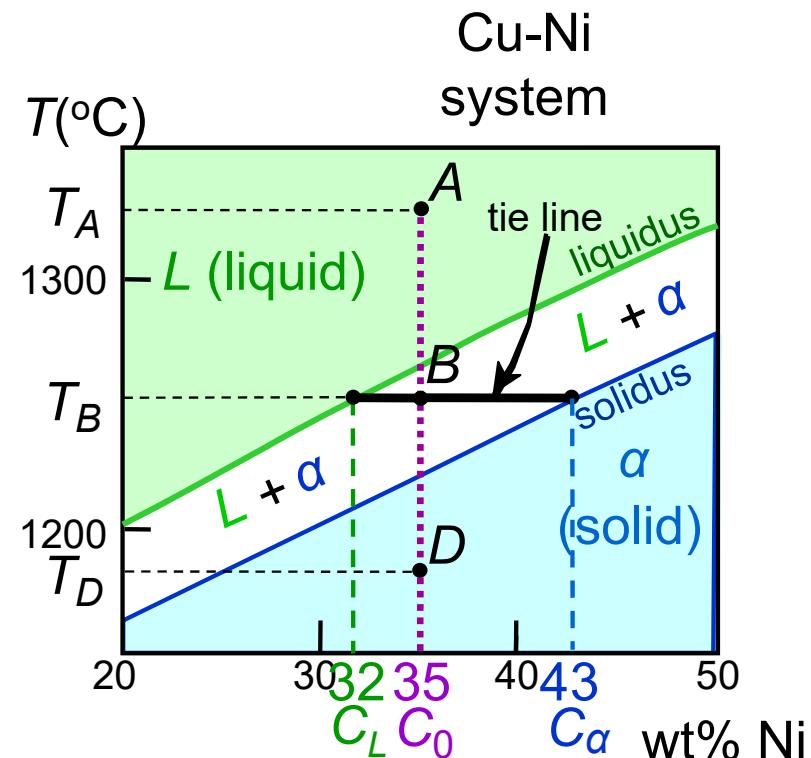


Fig. 11.3(b), Callister & Rethwisch 9e.  
(Adapted from *Phase Diagrams of Binary Nickel Alloys*, P. Nash, Editor, 1991. Reprinted by permission of ASM International, Materials Park, OH.)

# Phase Diagrams: Determination of phase weight fractions

- Rule 3: If we know  $T$  and  $C_0$ , then can determine:
  - the weight fraction of each phase.
- Examples:

Consider  $C_0 = 35$  wt% Ni

At  $T_A$  : Only Liquid ( $L$ ) present

$$W_L = 1.00, W_\alpha = 0$$

At  $T_D$  : Only Solid ( $\alpha$ ) present

$$W_L = 0, W_\alpha = 1.00$$

At  $T_B$  : Both  $\alpha$  and  $L$  present

$$W_L = \frac{S}{R+S} = \frac{43 - 35}{43 - 32} = 0.73$$

$$W_\alpha = \frac{R}{R+S} = 0.27$$

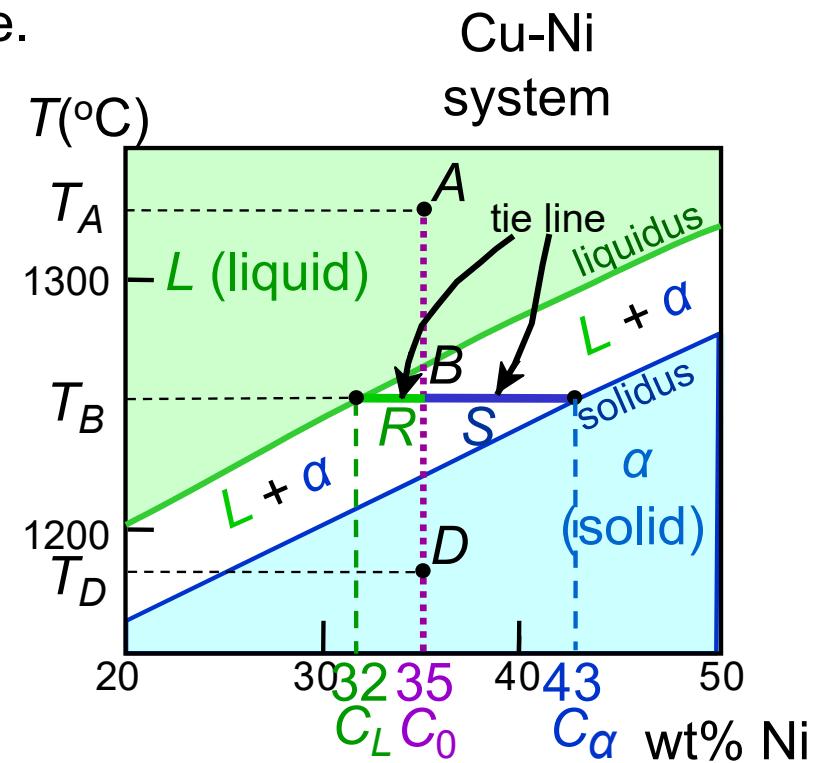
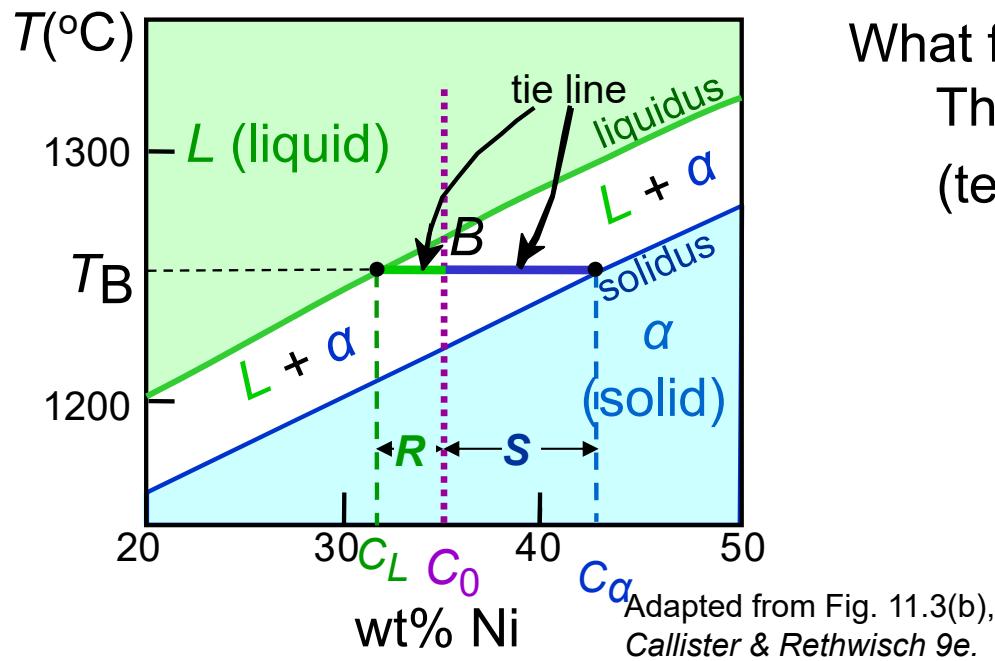


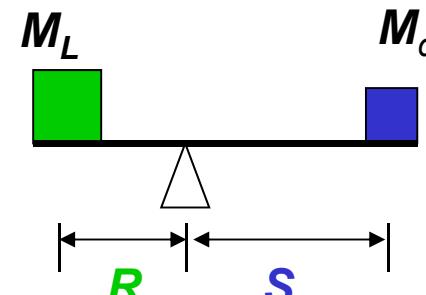
Fig. 11.3(b), Callister & Rethwisch 9e.  
(Adapted from Phase Diagrams of Binary Nickel Alloys, P. Nash, Editor, 1991. Reprinted by permission of ASM International, Materials Park, OH.)

# The Lever Rule

- Tie line – connects the phases in equilibrium with each other – also sometimes called an **isotherm**



What fraction of each phase?  
Think of the tie line as a lever  
(teeter-totter)



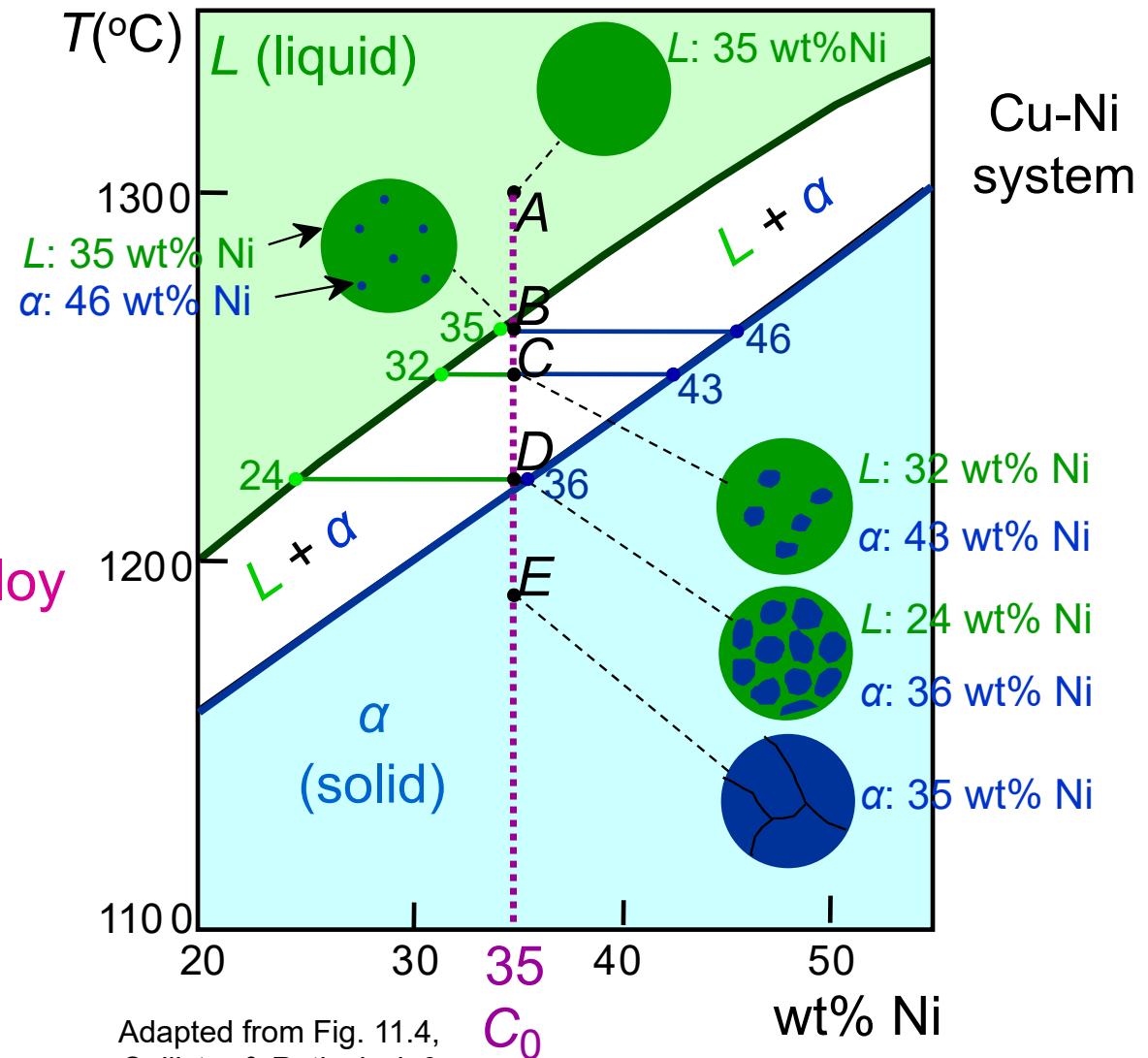
$$M_\alpha \times S = M_L \times R$$

$$W_L = \frac{M_L}{M_L + M_\alpha} = \frac{S}{R + S} = \frac{C_\alpha - C_0}{C_\alpha - C_L}$$

$$W_\alpha = \frac{R}{R + S} = \frac{C_0 - C_L}{C_\alpha - C_L}$$

# Ex: Cooling of a Cu-Ni Alloy

- Phase diagram: Cu-Ni system.
- Consider microstructural changes that accompany the cooling of a  $C_0 = 35$  wt% Ni alloy

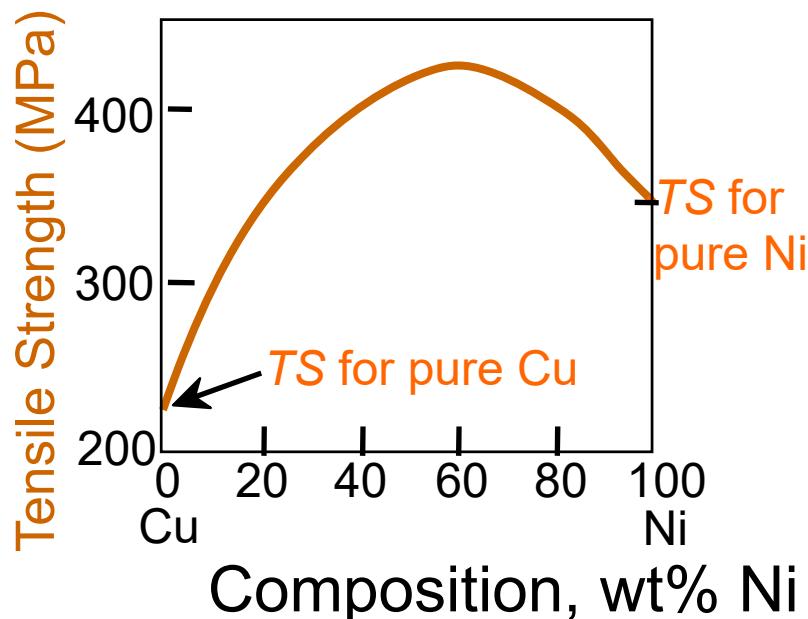


Adapted from Fig. 11.4,  
Callister & Rethwisch 9e.

# Mechanical Properties: Cu-Ni System

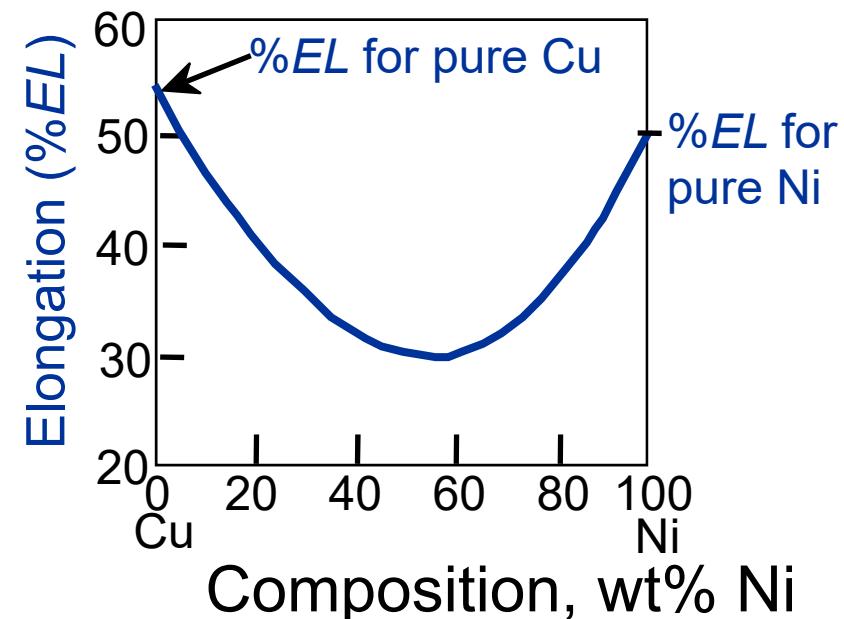
- Effect of solid solution strengthening on:

-- Tensile strength ( $TS$ )



Adapted from Fig. 11.5(a),  
Callister & Rethwisch 9e.

-- Ductility (% $EL$ )



Adapted from Fig. 11.5(b),  
Callister & Rethwisch 9e.

# Binary-Eutectic Systems

2 components

has a special composition  
with a min. melting  $T$ .

Ex.: Cu-Ag system

- 3 single phase regions ( $L$ ,  $\alpha$ ,  $\beta$ )
- Limited solubility:  
 $\alpha$ : mostly Cu  
 $\beta$ : mostly Ag
- $T_E$ : No liquid below  $T_E$
- $C_E$ : Composition at temperature  $T_E$
- **Eutectic reaction**

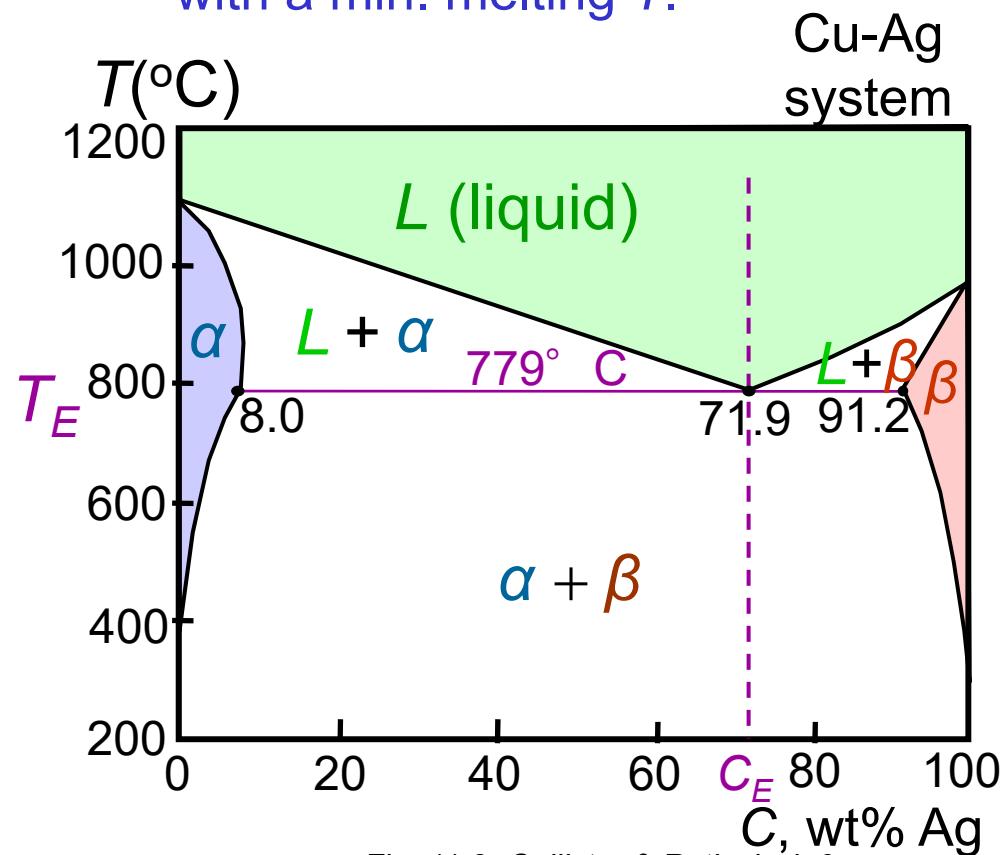
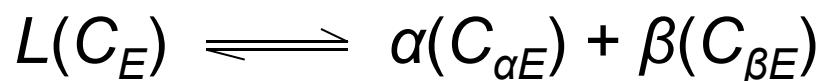


Fig. 11.6, Callister & Rethwisch 9e  
[Adapted from *Binary Alloy Phase Diagrams*, 2nd edition,  
Vol. 1, T. B. Massalski (Editor-in-Chief), 1990. Reprinted  
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# EX 1: Pb-Sn Eutectic System

- For a 40 wt% Sn-60 wt% Pb alloy at 150 °C, determine:
  - the phases present

**Answer:**  $\alpha + \beta$

- the phase compositions

**Answer:**  $C_\alpha = 11$  wt% Sn  
 $C_\beta = 99$  wt% Sn

- the relative amount of each phase

**Answer:**

$$W_\alpha = \frac{S}{R+S} = \frac{C_\beta - C_0}{C_\beta - C_\alpha}$$

$$= \frac{99 - 40}{99 - 11} = \frac{59}{88} = 0.67$$

$$W_\beta = \frac{R}{R+S} = \frac{C_0 - C_\alpha}{C_\beta - C_\alpha}$$

$$= \frac{40 - 11}{99 - 11} = \frac{29}{88} = 0.33$$

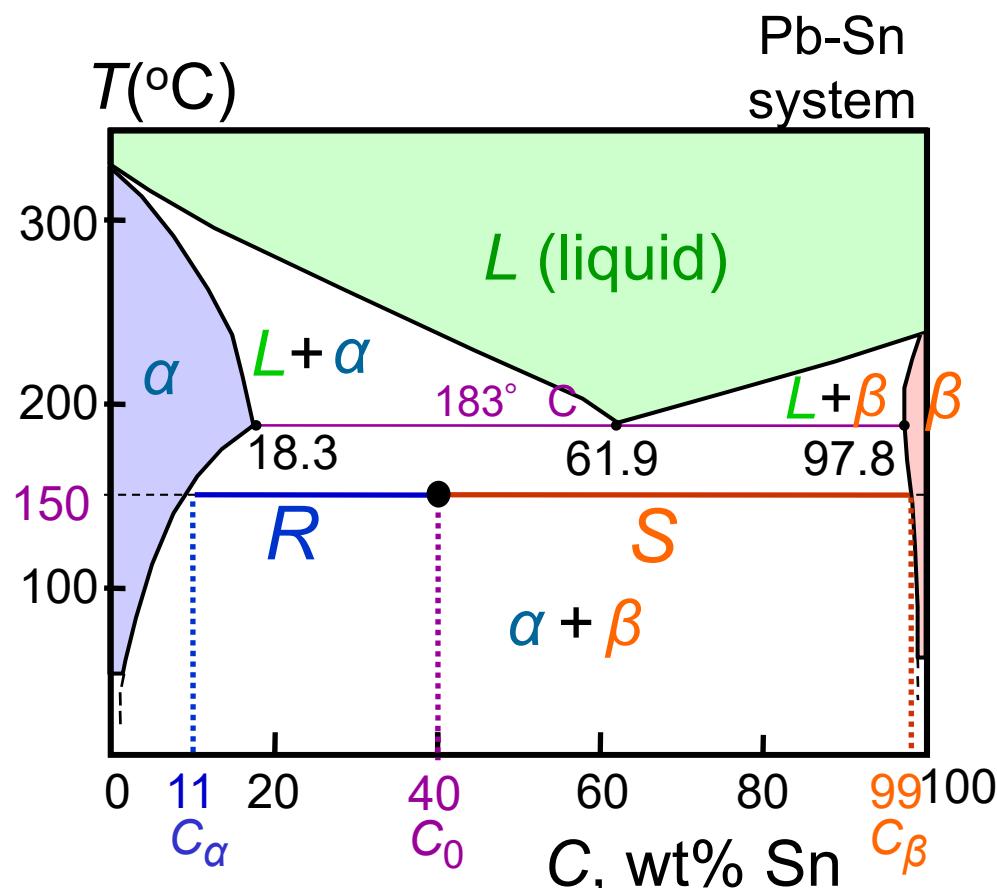


Fig. 11.7, Callister & Rethwisch 9e.  
 [Adapted from *Binary Alloy Phase Diagrams*,  
 2nd edition, Vol. 3, T. B. Massalski (Editor-in-Chief), 1990. Reprinted by permission of ASM International, Materials Park, OH.]

## EX 2: Pb-Sn Eutectic System

- For a 40 wt% Sn-60 wt% Pb alloy at 220 °C, determine:
  - the phases present:

**Answer:**  $\alpha + L$

- the phase compositions

**Answer:**  $C_\alpha = 17 \text{ wt\% Sn}$   
 $C_L = 46 \text{ wt\% Sn}$

- the relative amount of each phase

**Answer:**

$$W_\alpha = \frac{C_L - C_0}{C_L - C_\alpha} = \frac{46 - 40}{46 - 17}$$

$$= \frac{6}{29} = 0.21$$

$$W_L = \frac{C_0 - C_\alpha}{C_L - C_\alpha} = \frac{23}{29} = 0.79$$

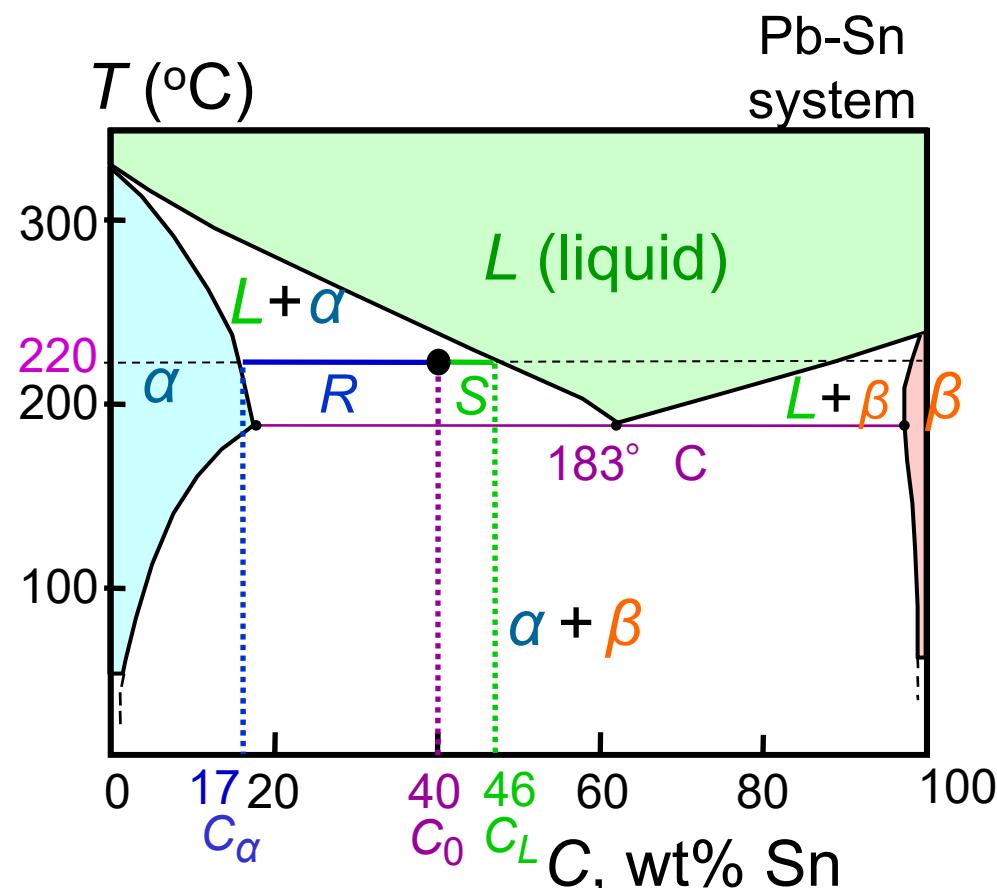


Fig. 11.7, Callister & Rethwisch 9e.  
 [Adapted from *Binary Alloy Phase Diagrams*,  
 2nd edition, Vol. 3, T. B. Massalski (Editor-in-Chief), 1990. Reprinted by permission of ASM International, Materials Park, OH.]

# Microstructural Developments in Eutectic Systems I

- For alloys for which  $C_0 < 2 \text{ wt\% Sn}$
- Result: at room temperature -- polycrystalline with grains of  $\alpha$  phase having composition  $C_0$

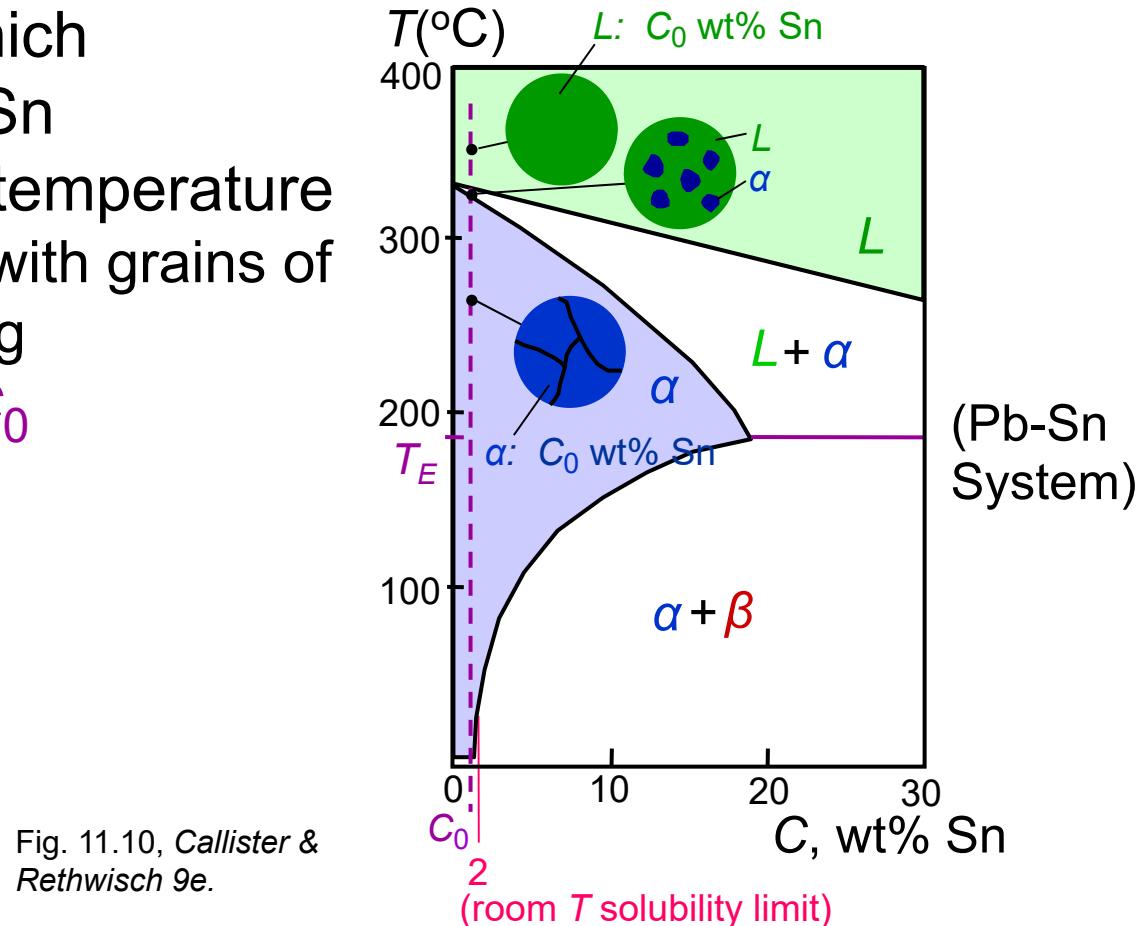


Fig. 11.10, Callister & Rethwisch 9e.

# Microstructural Developments in Eutectic Systems II

- For alloys for which  $2 \text{ wt\% Sn} < C_0 < 18.3 \text{ wt\% Sn}$
- Result:
  - at temperatures in  $\alpha + \beta$  range -- polycrystalline with  $\alpha$  grains and small  $\beta$ -phase particles

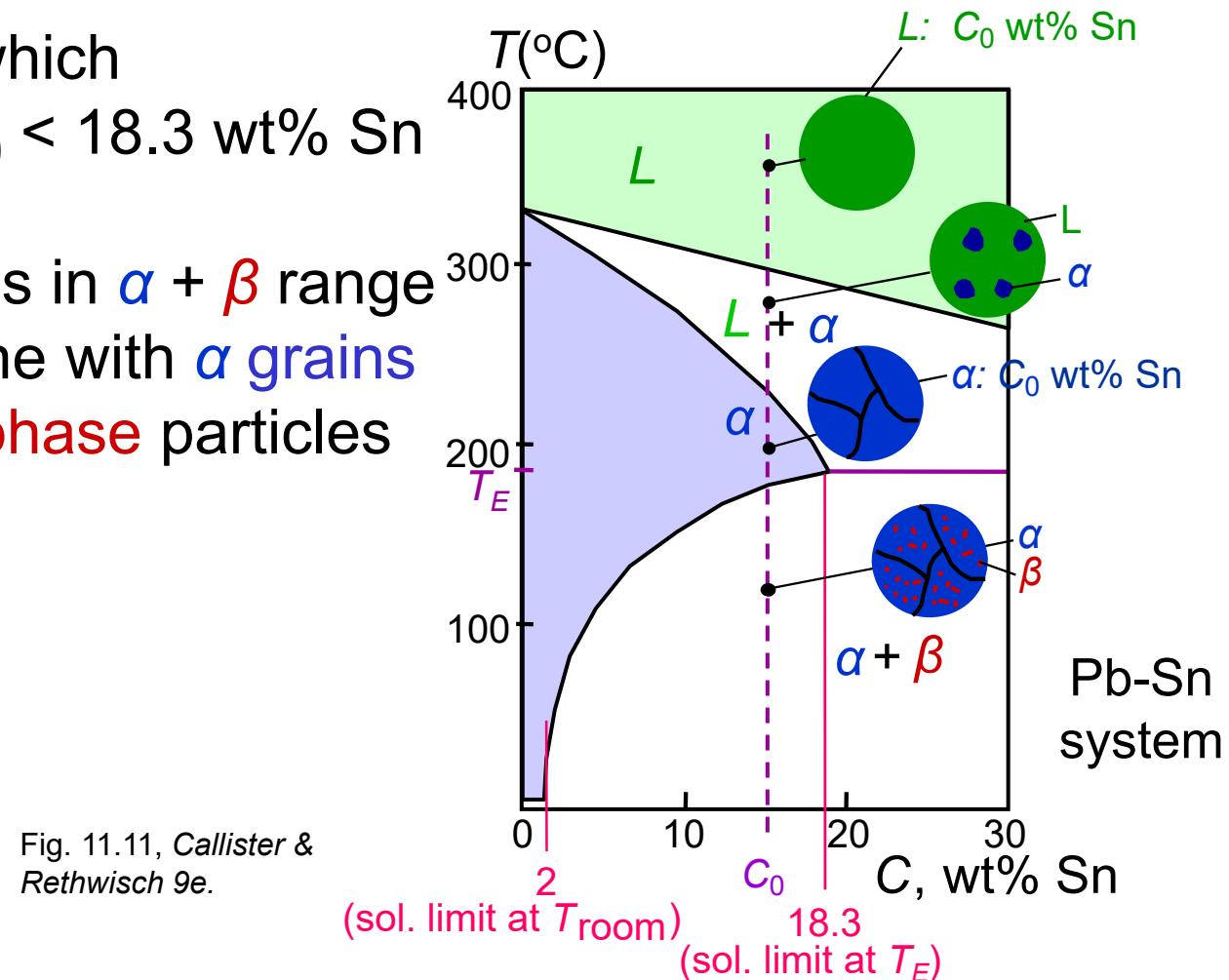
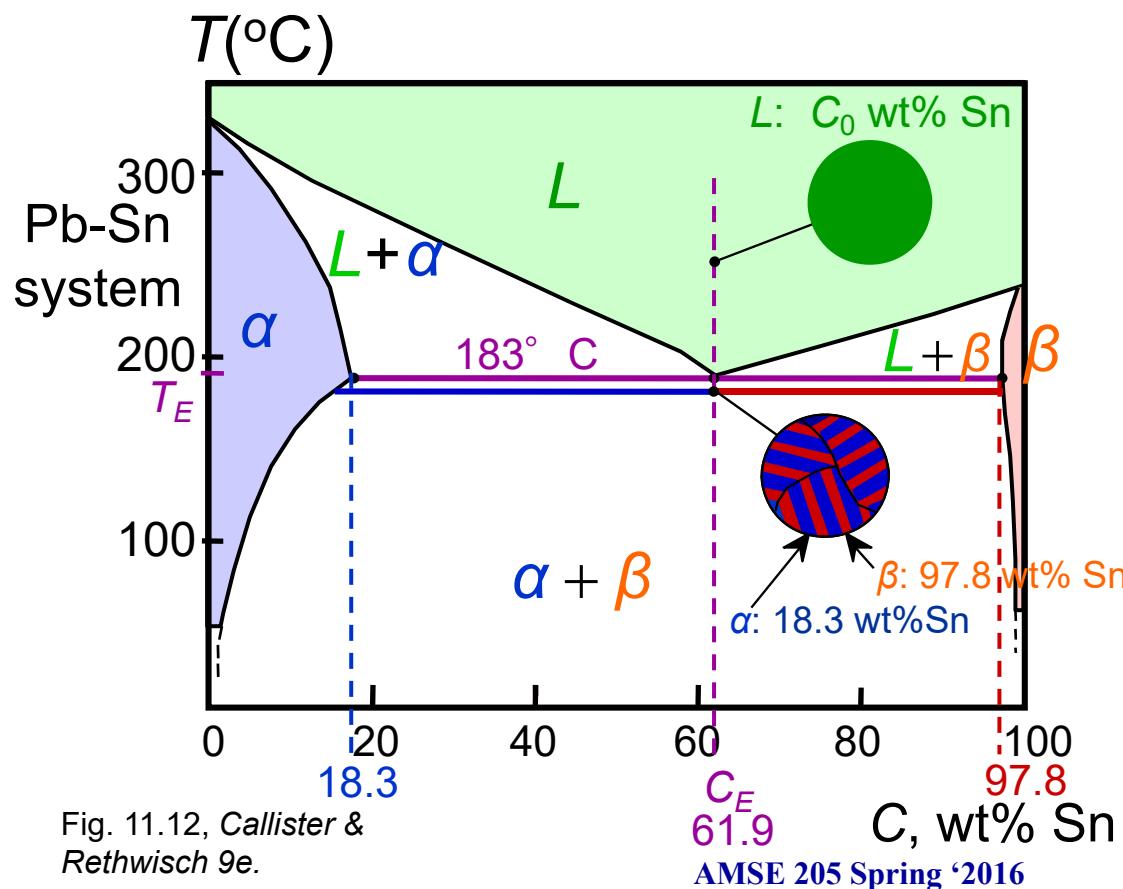


Fig. 11.11, Callister & Rethwisch 9e.

# Microstructural Developments in Eutectic Systems III

- For alloy of composition  $C_0 = C_E$
- Result: Eutectic microstructure (lamellar structure)
  - alternating layers (lamellae) of  $\alpha$  and  $\beta$  phases.

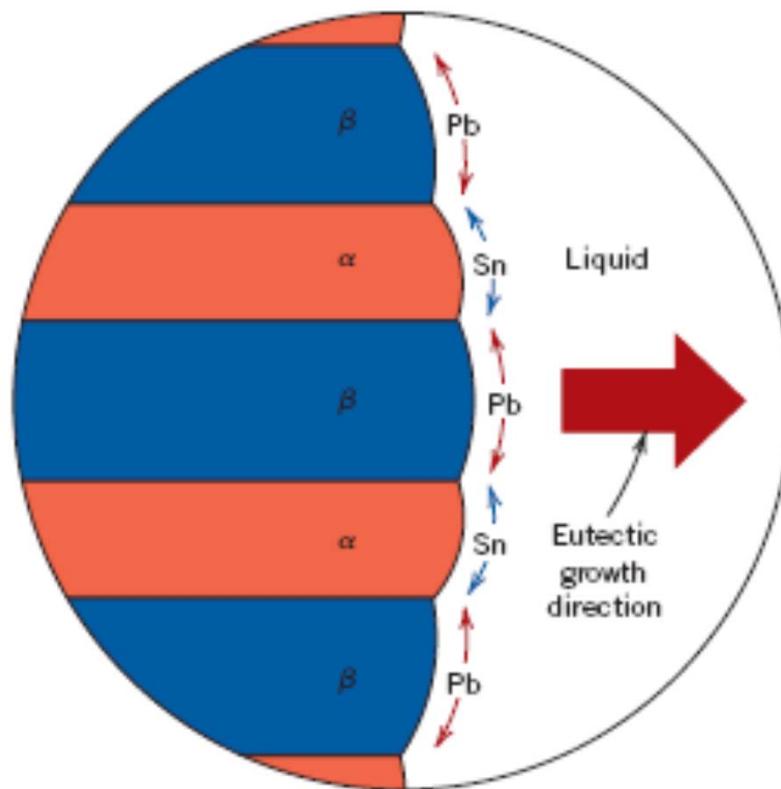


Micrograph of Pb-Sn eutectic microstructure

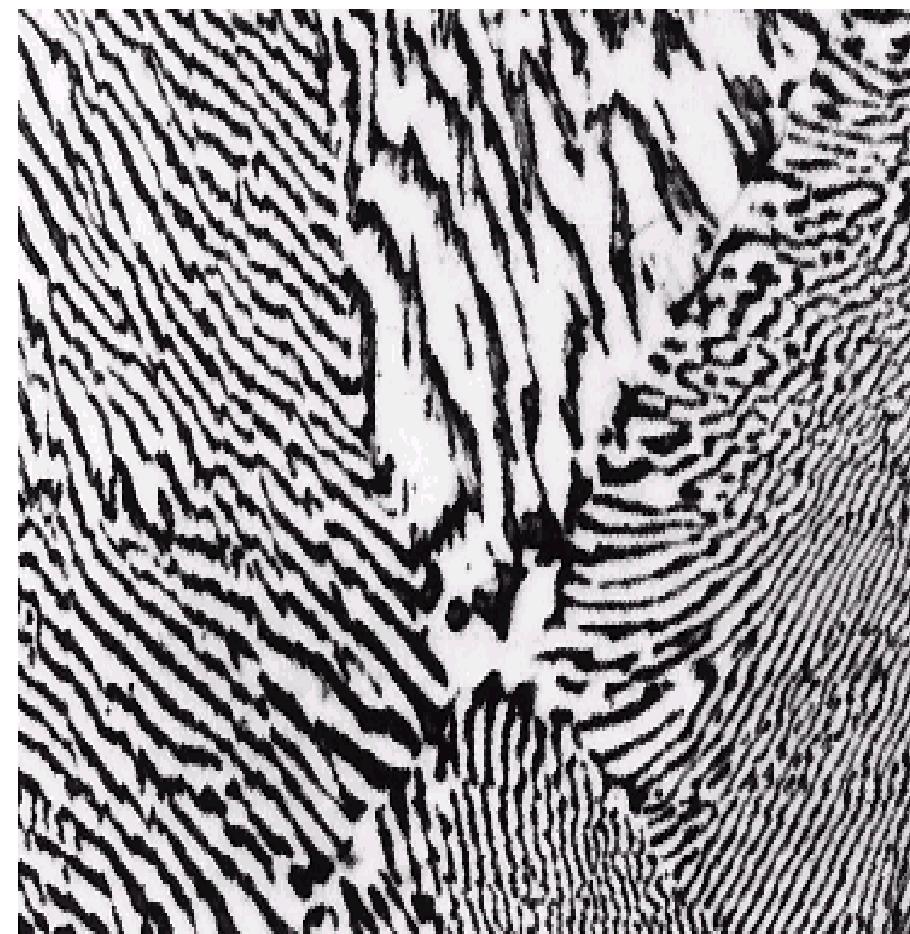


Fig. 11.13, Callister & Rethwisch 9e.  
(From Metals Handbook, 9th edition, Vol. 9,  
Metallography and Microstructures, 1985.  
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# Lamellar Eutectic Structure

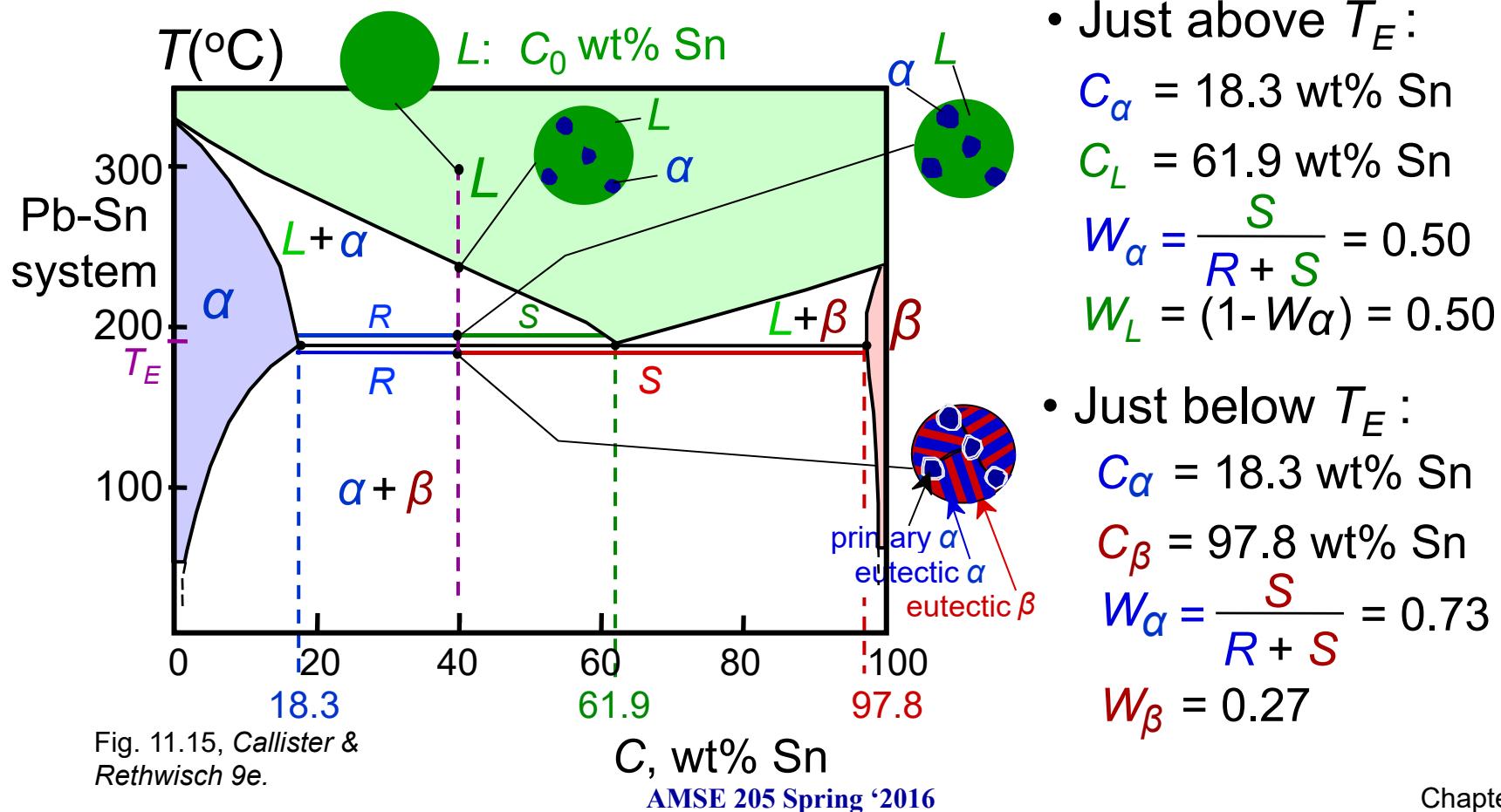


Figs. 11.13 & 11.14, Callister & Rethwisch 9e.  
(Fig. 11.13 from *Metals Handbook*, 9th edition, Vol. 9,  
*Metallography and Microstructures*, 1985. Reproduced by  
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# Microstructural Developments in Eutectic Systems IV

- For alloys for which  $18.3 \text{ wt\% Sn} < C_0 < 61.9 \text{ wt\% Sn}$
- Result:  $\alpha$  phase particles and a eutectic microconstituent



- Just above  $T_E$ :
 
$$C_\alpha = 18.3 \text{ wt\% Sn}$$

$$C_L = 61.9 \text{ wt\% Sn}$$

$$W_\alpha = \frac{S}{R+S} = 0.50$$

$$W_L = (1-W_\alpha) = 0.50$$
- Just below  $T_E$ :
 
$$C_\alpha = 18.3 \text{ wt\% Sn}$$

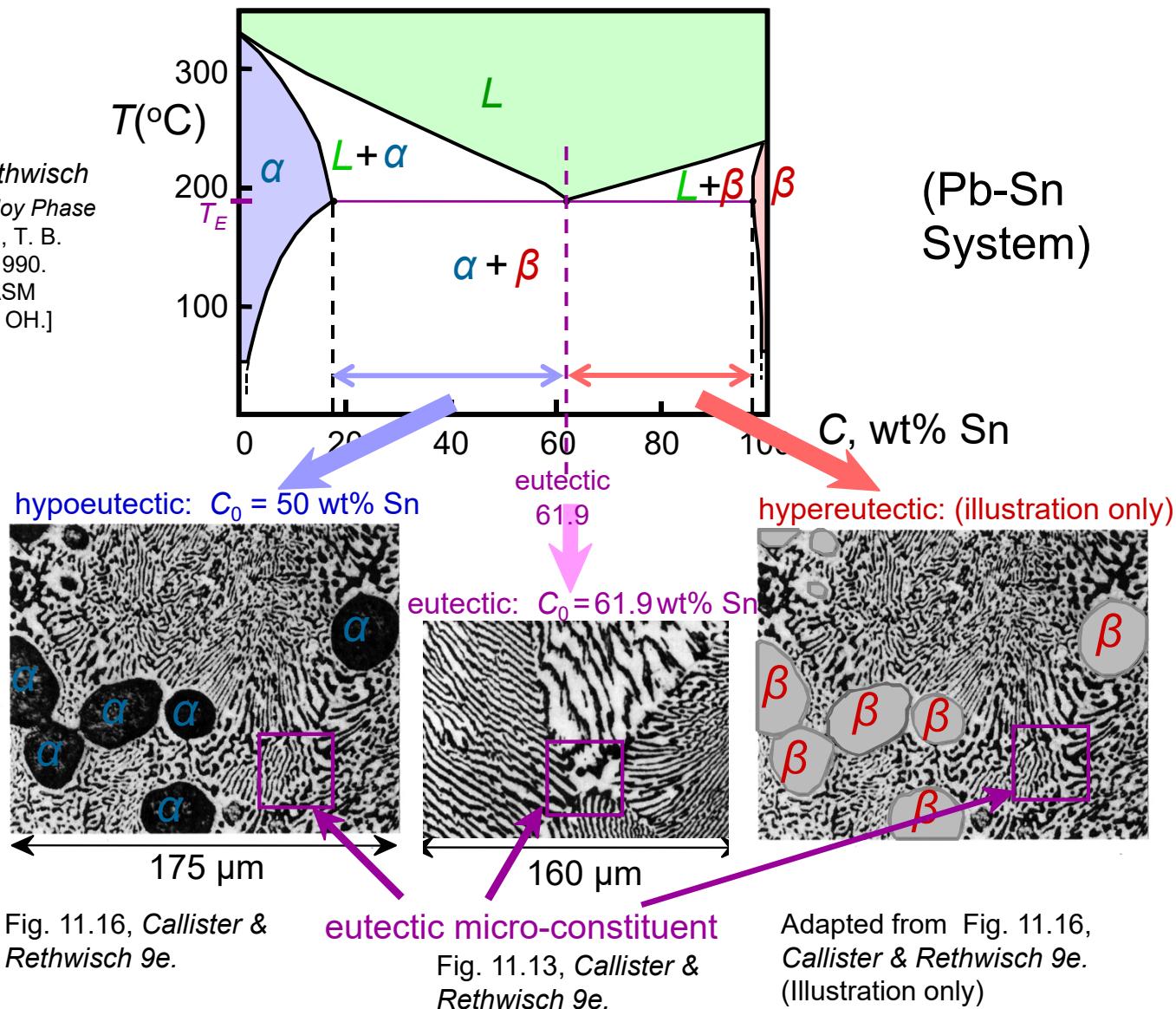
$$C_\beta = 97.8 \text{ wt\% Sn}$$

$$W_\alpha = \frac{S}{R+S} = 0.73$$

$$W_\beta = 0.27$$

# Hypoeutectic & Hypereutectic

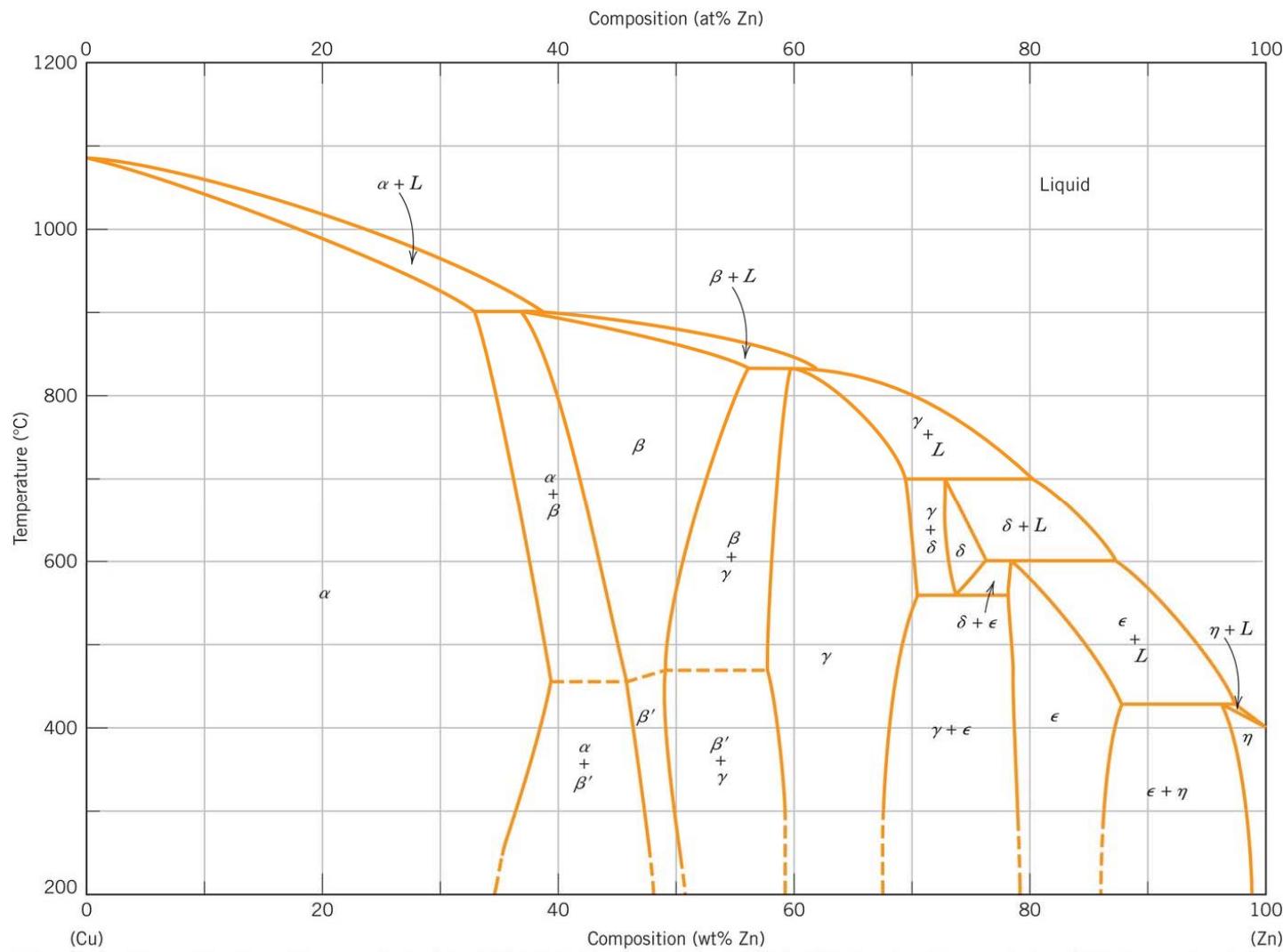
Fig. 11.7, Callister & Rethwisch 9e. [Adapted from *Binary Alloy Phase Diagrams*, 2nd edition, Vol. 3, T. B. Massalski (Editor-in-Chief), 1990. Reprinted by permission of ASM International, Materials Park, OH.]



(Figs. 11.13 and 11.16 from *Metals Handbook*, 9th ed., Vol. 9, *Metallography and Microstructures*, 1985. Reproduced by permission of ASM International, Materials Park, OH.)

Fig. 11.16, Callister & Rethwisch 9e.

# Intermediate Phases (Intermediate Solid Solutions)



Adapted from Binary Alloy Phase Diagrams, 2nd edition, Vol. 2, T. B. Massalski (Editor-in-Chief), 1990. Reprinted by permission of ASM International, Materials Park, OH.

# Intermetallic Compounds

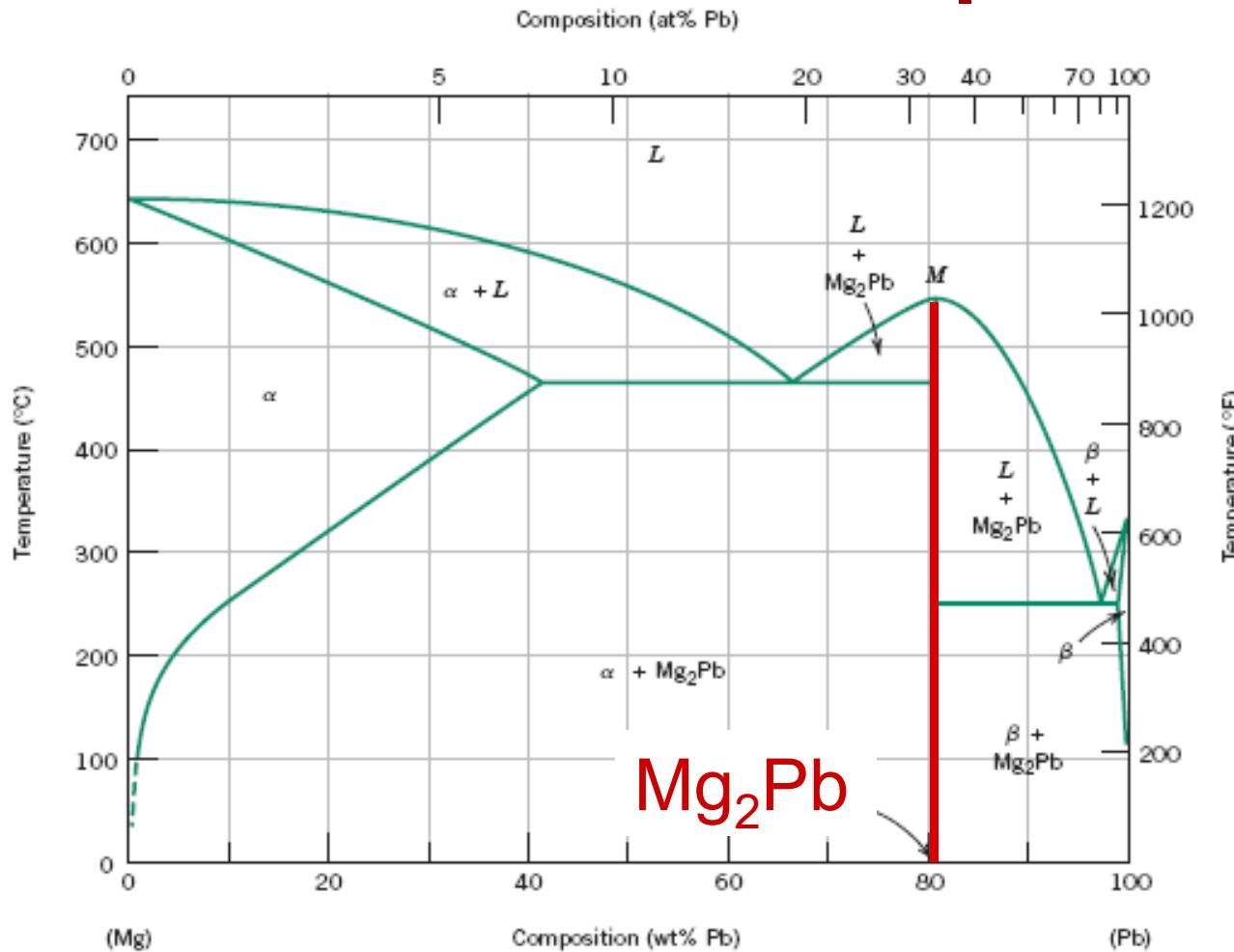
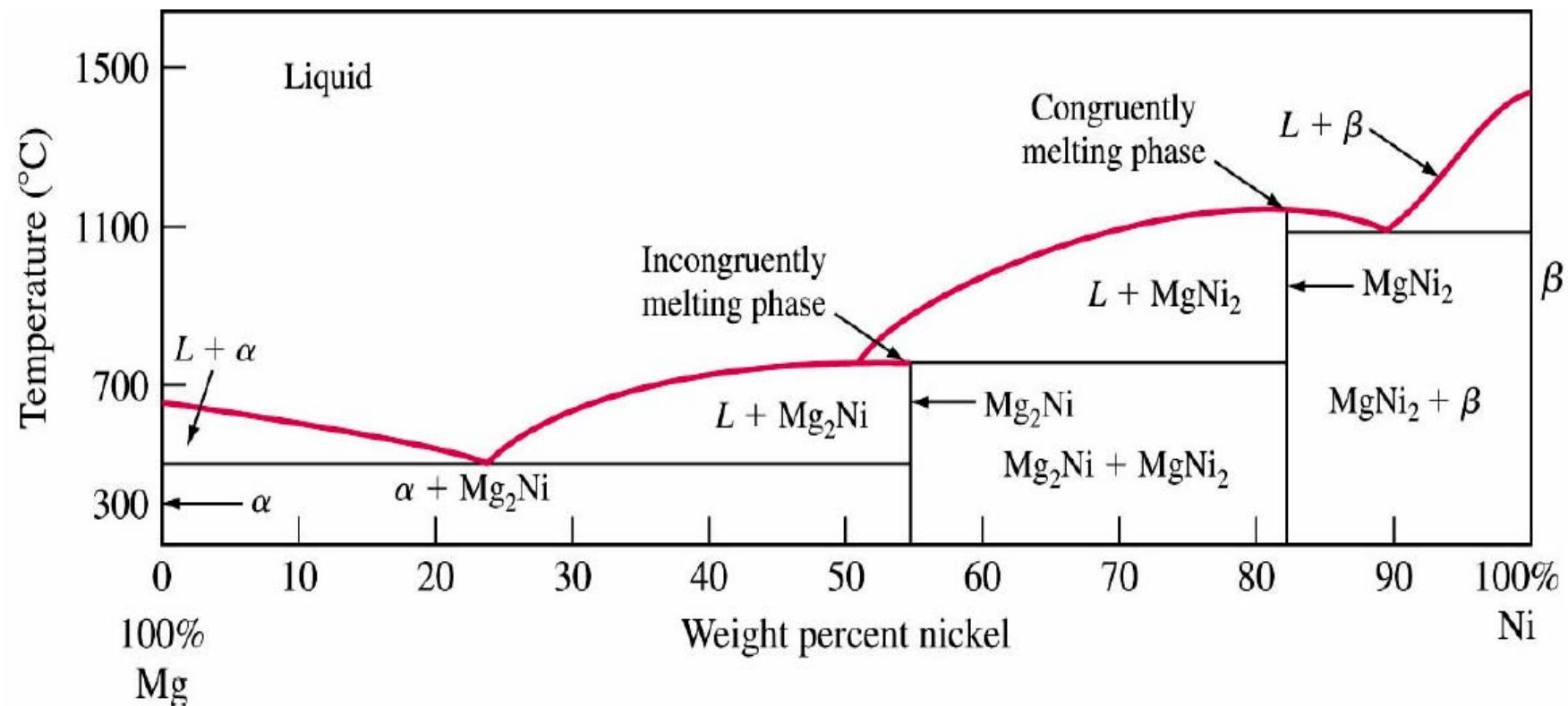


Fig. 11.19, Callister & Rethwisch 9e.  
[Adapted from *Phase Diagrams of Binary Magnesium Alloys*, A. A. Nayeb-Hashemi and J. B. Clark (Editors), 1988. Reprinted by permission of ASM International, Materials Park, OH.]

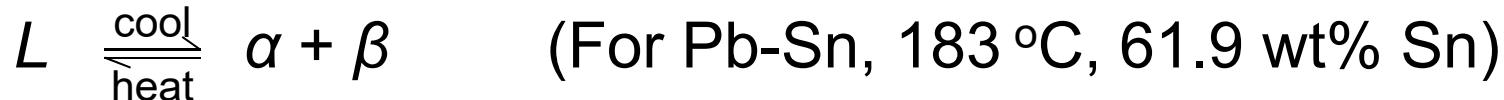
Note: intermetallic compound exists as a line on the diagram - not an area - because of stoichiometry (i.e. composition of a compound is a fixed value).

# Congruent melting vs. Incongruent melting

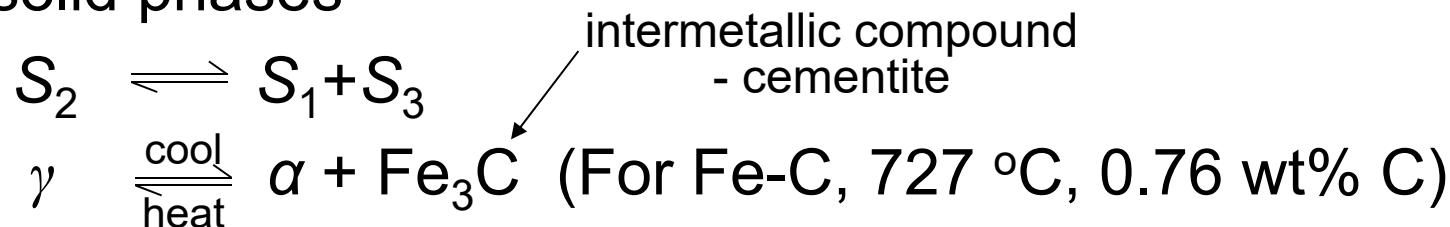


# Eutectic, Eutectoid, & Peritectic

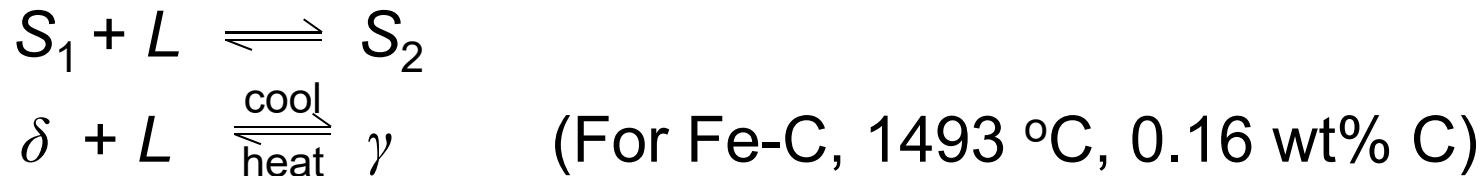
- **Eutectic** - liquid transforms to two solid phases



- **Eutectoid** – one solid phase transforms to two other solid phases

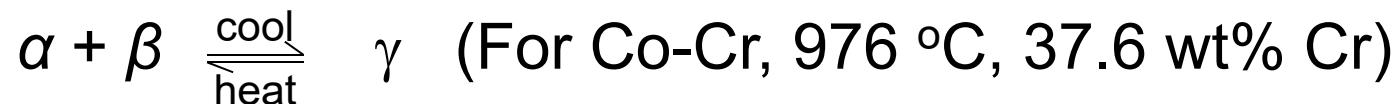


- **Peritectic** - liquid and one solid phase transform to a second solid phase



# Peritectoid & Monotectic

- Peritectoid – one solid and another solid react to produce a new solid

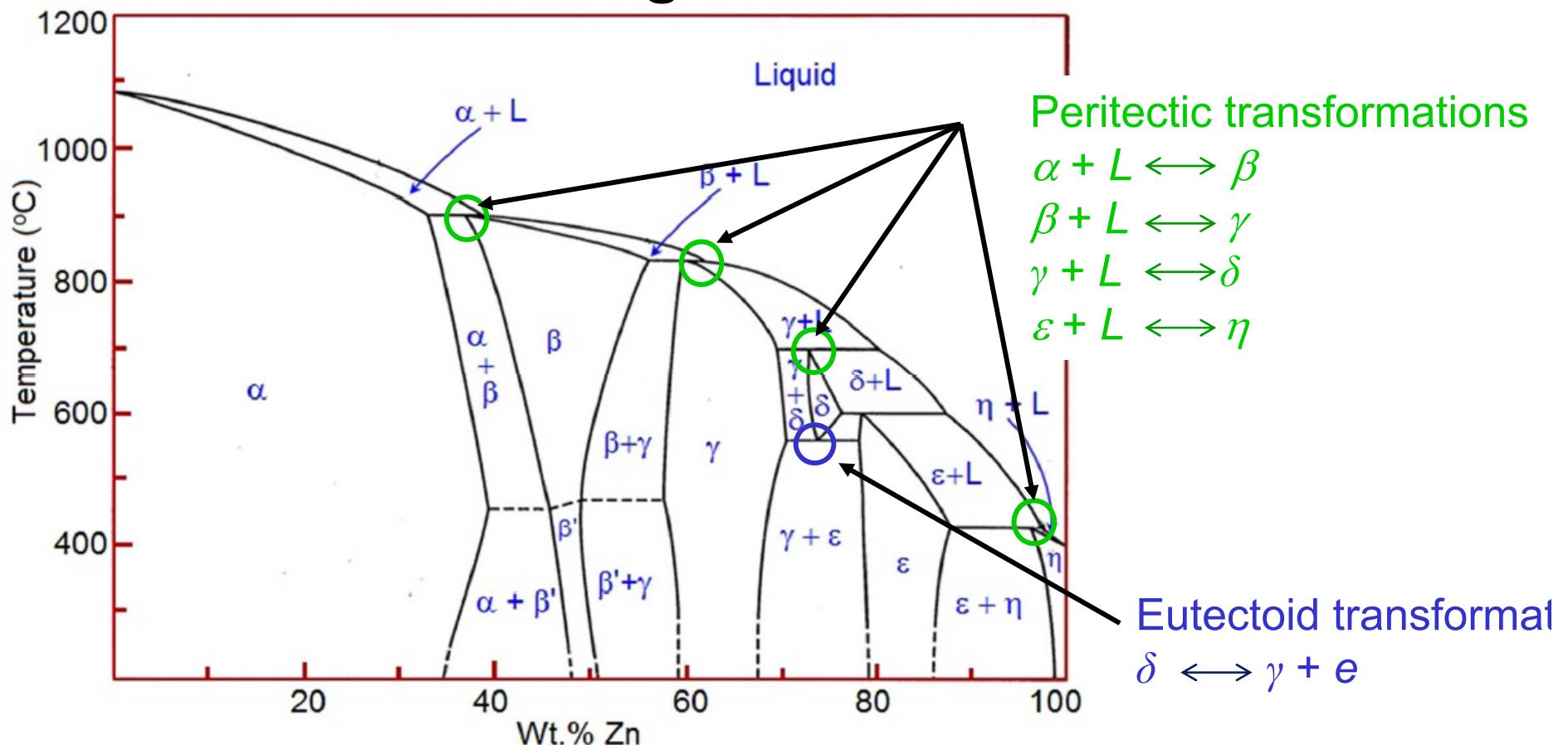


- Monotectic – liquid 1 decomposes to liquid 2 and a solid



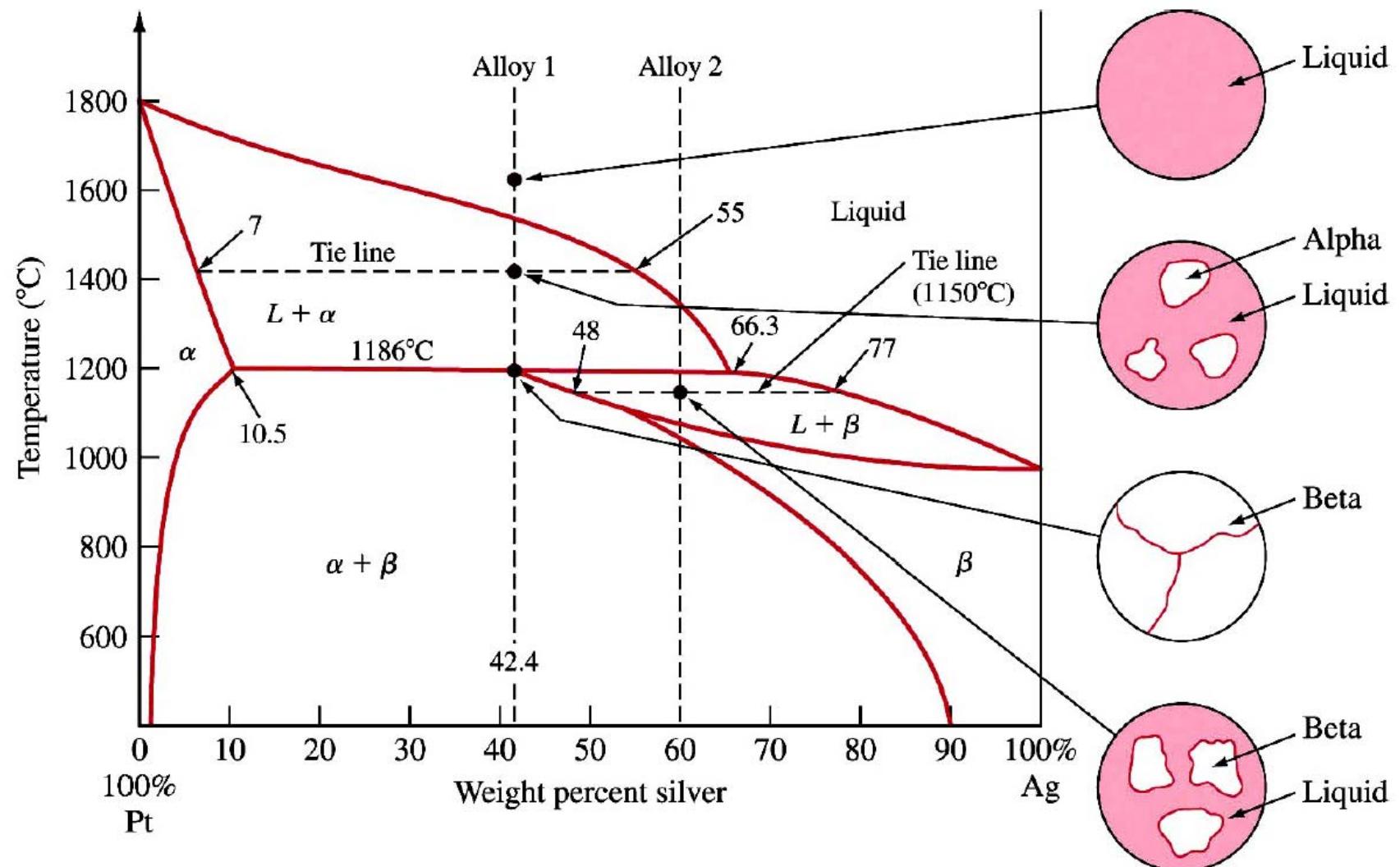
# Eutectoid & Peritectic

## Cu-Zn Phase diagram

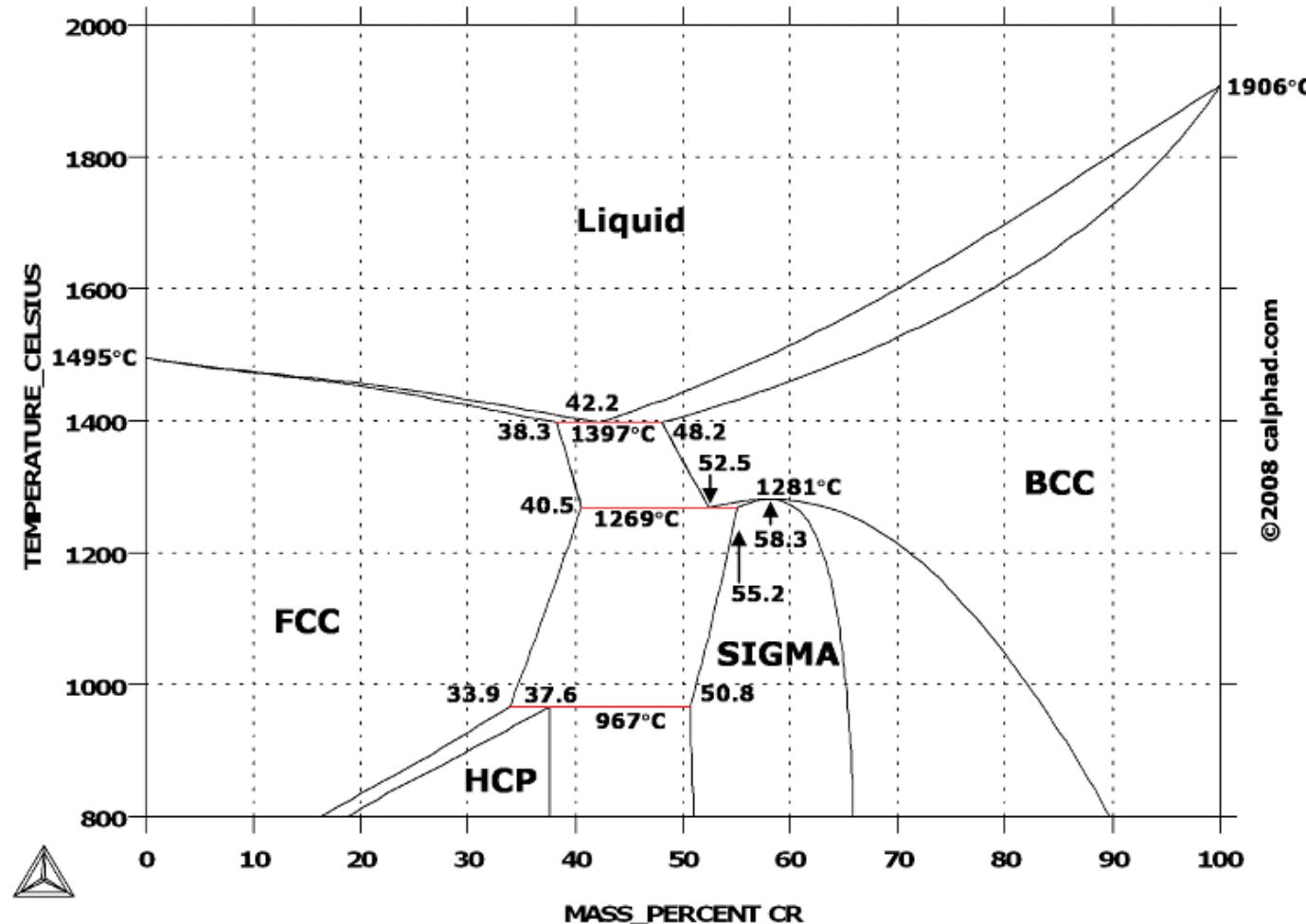


Cu-Zn phase diagram.  $\alpha$  and  $\eta$  are terminal phases and  $\beta$ ,  $\gamma$ ,  $\delta$  and  $\varepsilon$  are intermediate phases.

# Peritectic reaction



# Peritectoid



# Monotectic

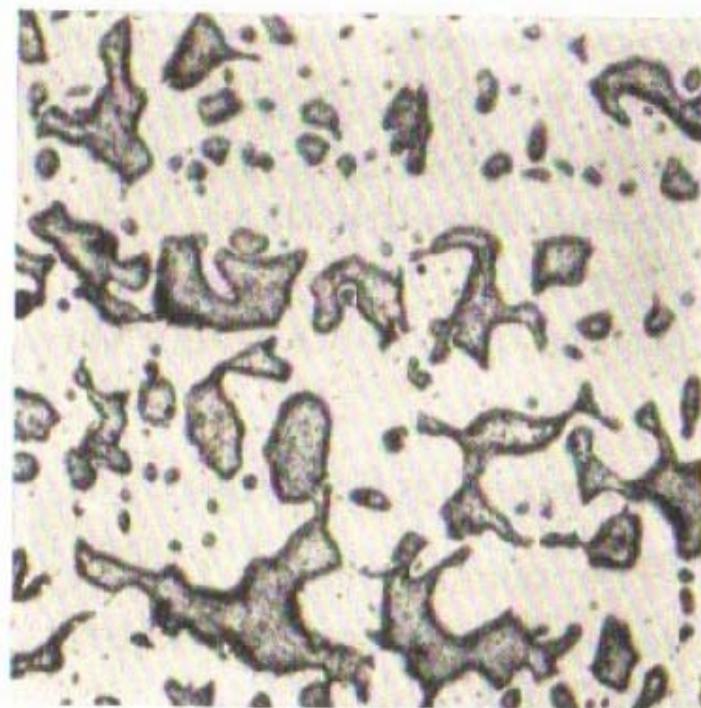
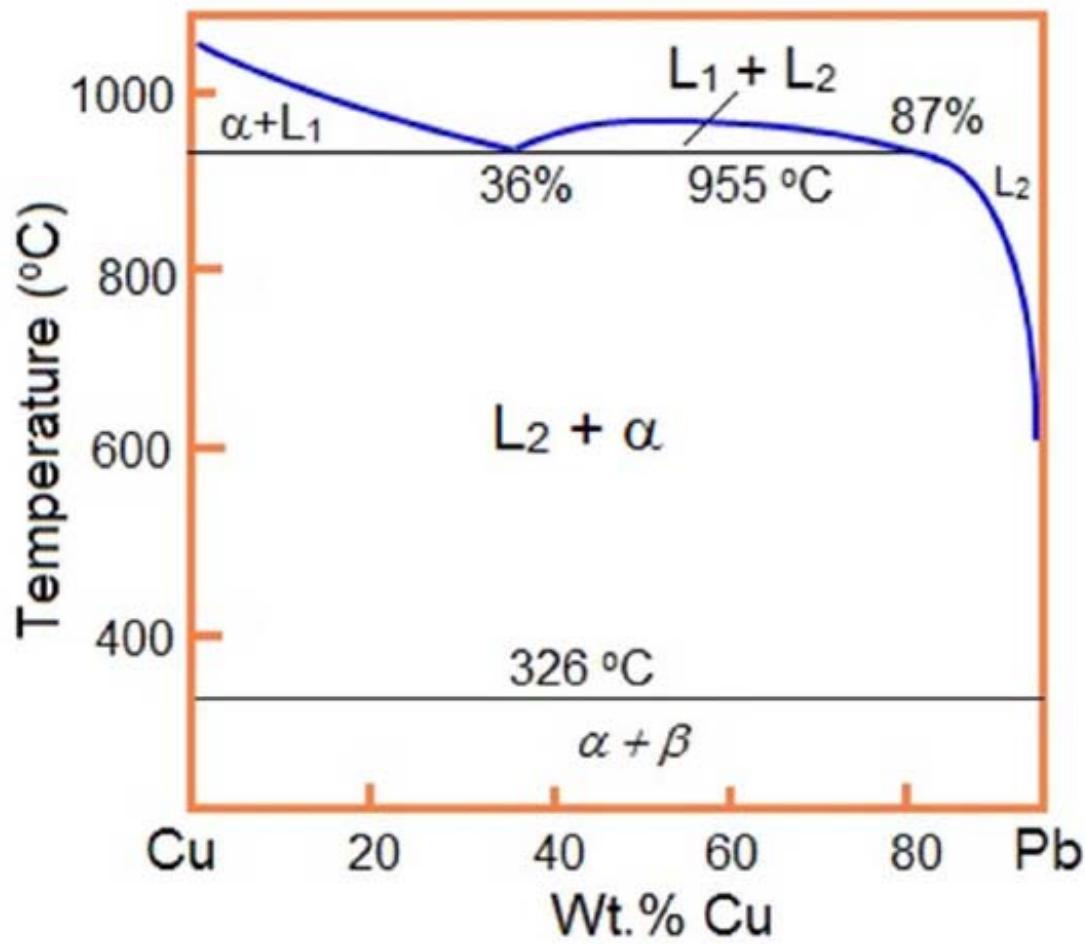


FIG. 6-4. Microstructure of cast monotectic alloy Cu + 36 % Pb. Light areas are the Cu-rich matrix of the monotectic constituent; dark areas are the Pb-rich portion, which existed as  $L_{II}$  at the monotectic temperature. Magnification 100.

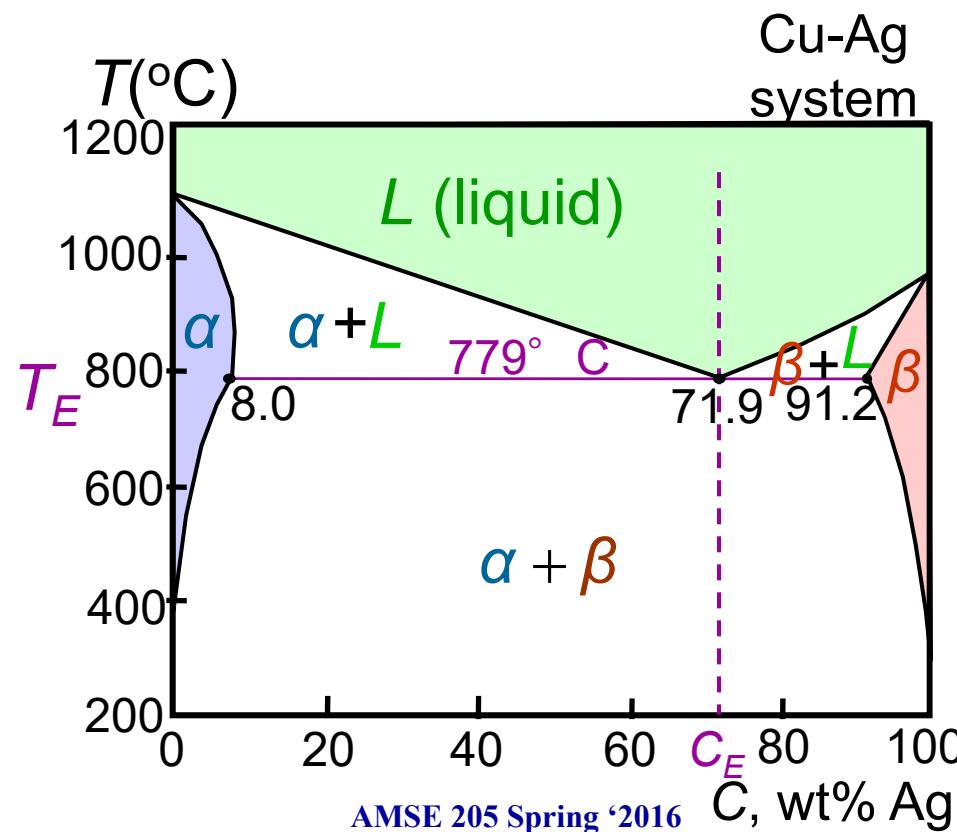
# Gibbs Phase Rule

- $P+F = C+2$

P: # of phase; F: # of degree of freedom; C: # of components

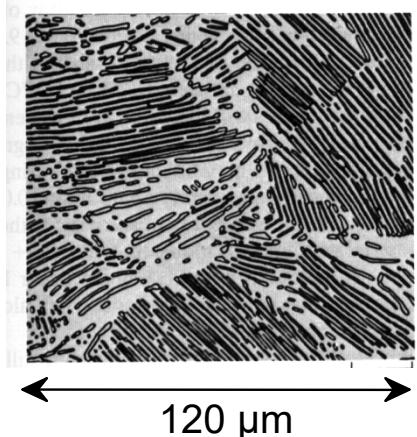
when Pressure is fixed at 1 atm

- $P+F = C+1 \rightarrow F = 3 - P$  for binary system



# Iron-Carbon (Fe-C) Phase Diagram

- 2 important points
  - Eutectic (A):  $L \Rightarrow \gamma + Fe_3C$
  - Eutectoid (B):  $\gamma \Rightarrow \alpha + Fe_3C$



Result: Pearlite = alternating layers of  $\alpha$  and  $Fe_3C$  phases

Fig. 11.26, Callister & Rethwisch 9e.  
 (From Metals Handbook, Vol. 9, 9th ed.,  
 Metallurgy and Microstructures, 1985.  
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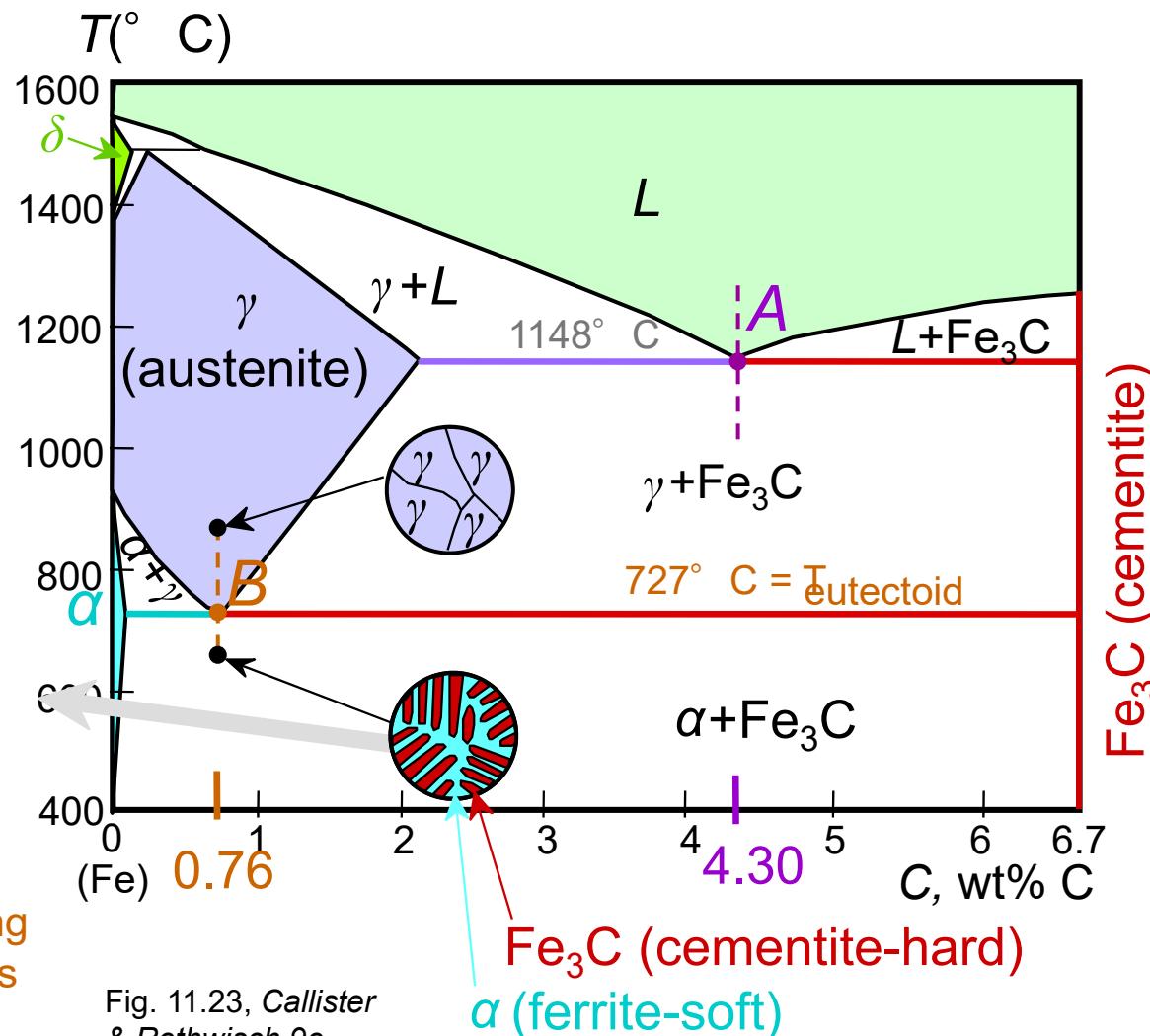
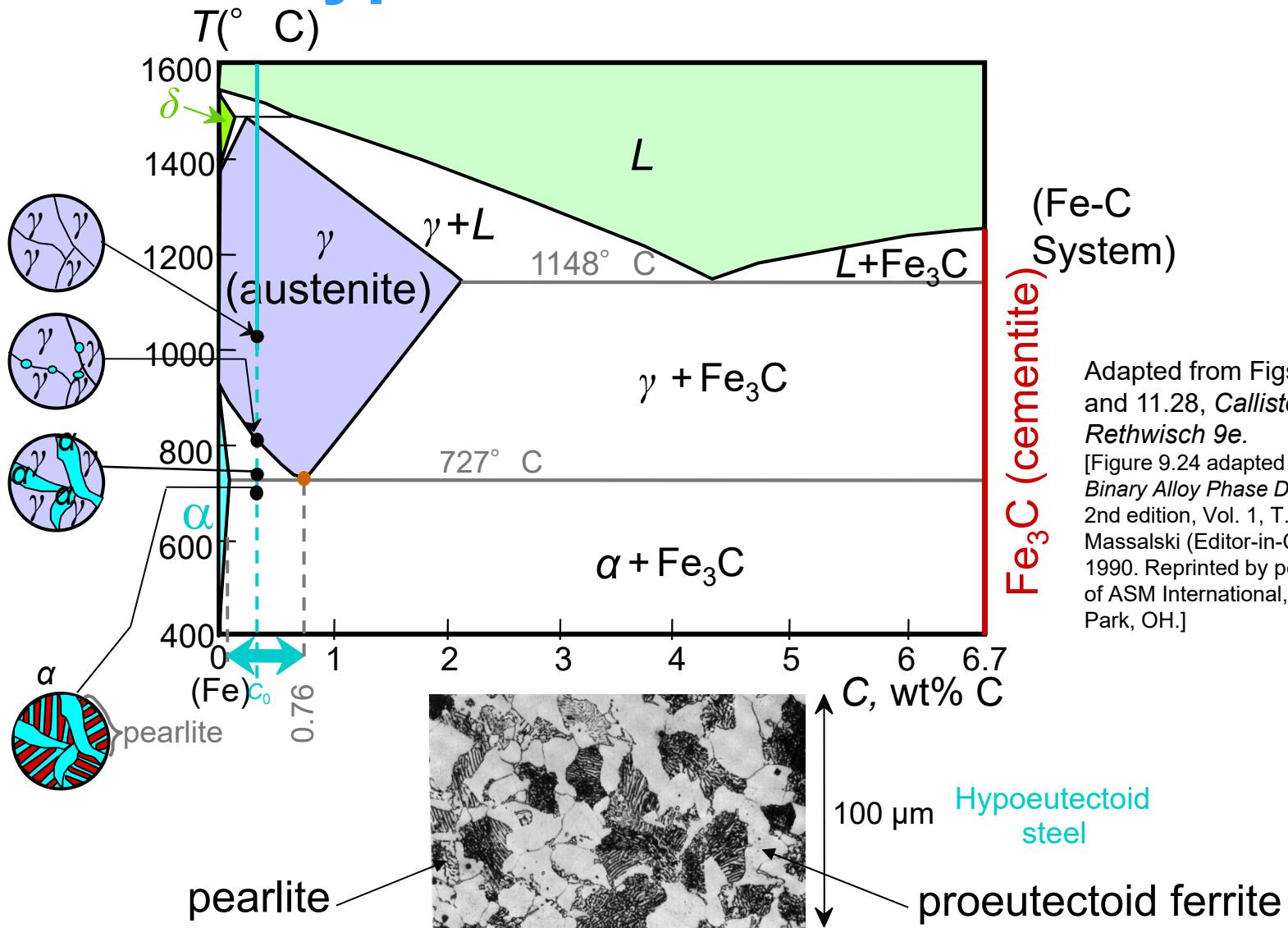


Fig. 11.23, Callister & Rethwisch 9e.

[Adapted from *Binary Alloy Phase Diagrams*, 2nd edition,  
 Vol. 1, T. B. Massalski (Editor-in-Chief), 1990. Reprinted  
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# Hypoeutectoid Steel



(Fe-C System)

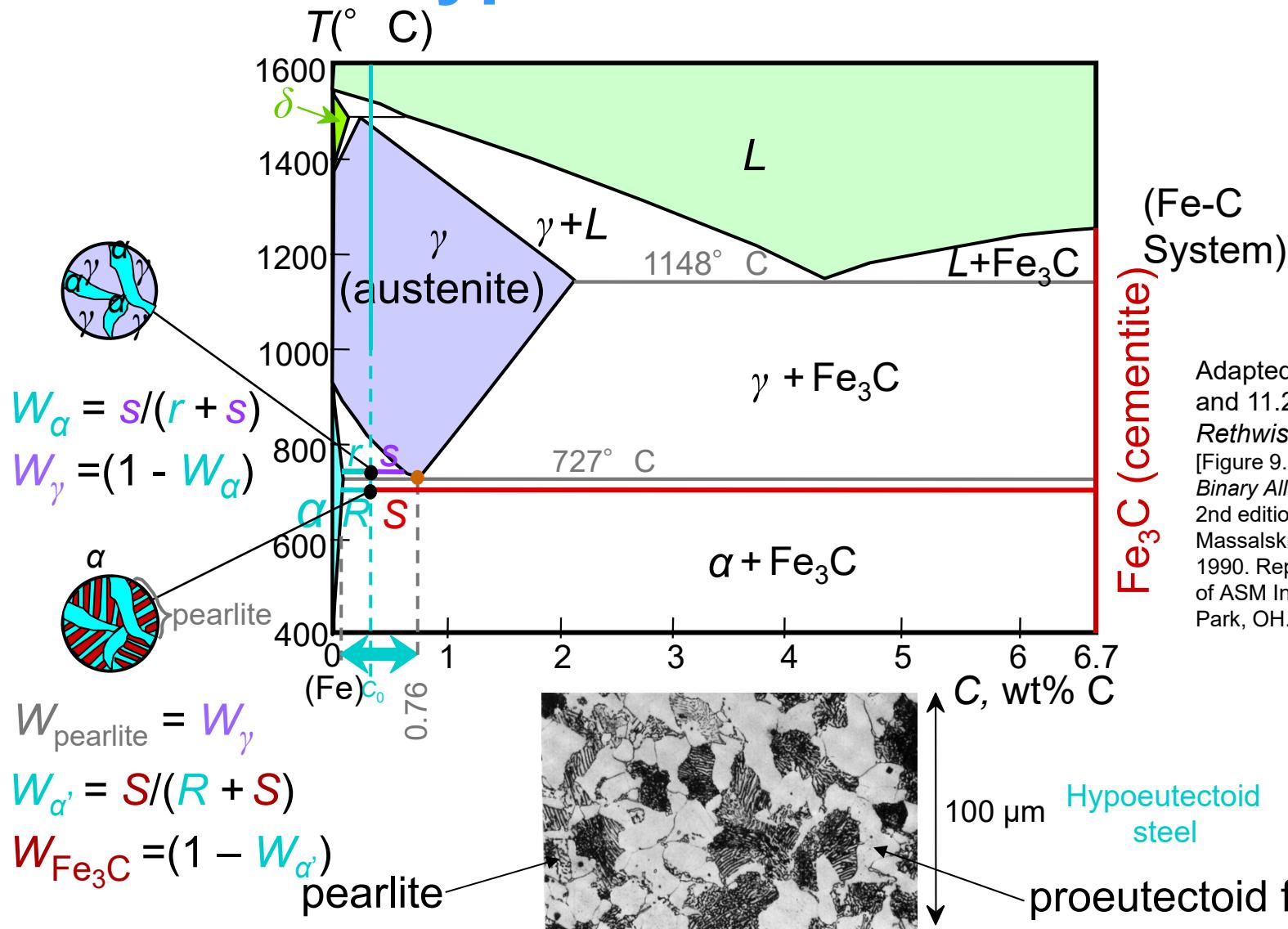
$Fe_3C$  (cementite)

Adapted from Figs. 11.23 and 11.28, Callister & Rethwisch 9e.

[Figure 9.24 adapted from *Binary Alloy Phase Diagrams*, 2nd edition, Vol. 1, T. B. Massalski (Editor-in-Chief), 1990. Reprinted by permission of ASM International, Materials Park, OH.]

Adapted from Fig. 11.29, Callister & Rethwisch 9e.  
(Photomicrograph courtesy of Republic Steel Corporation.)

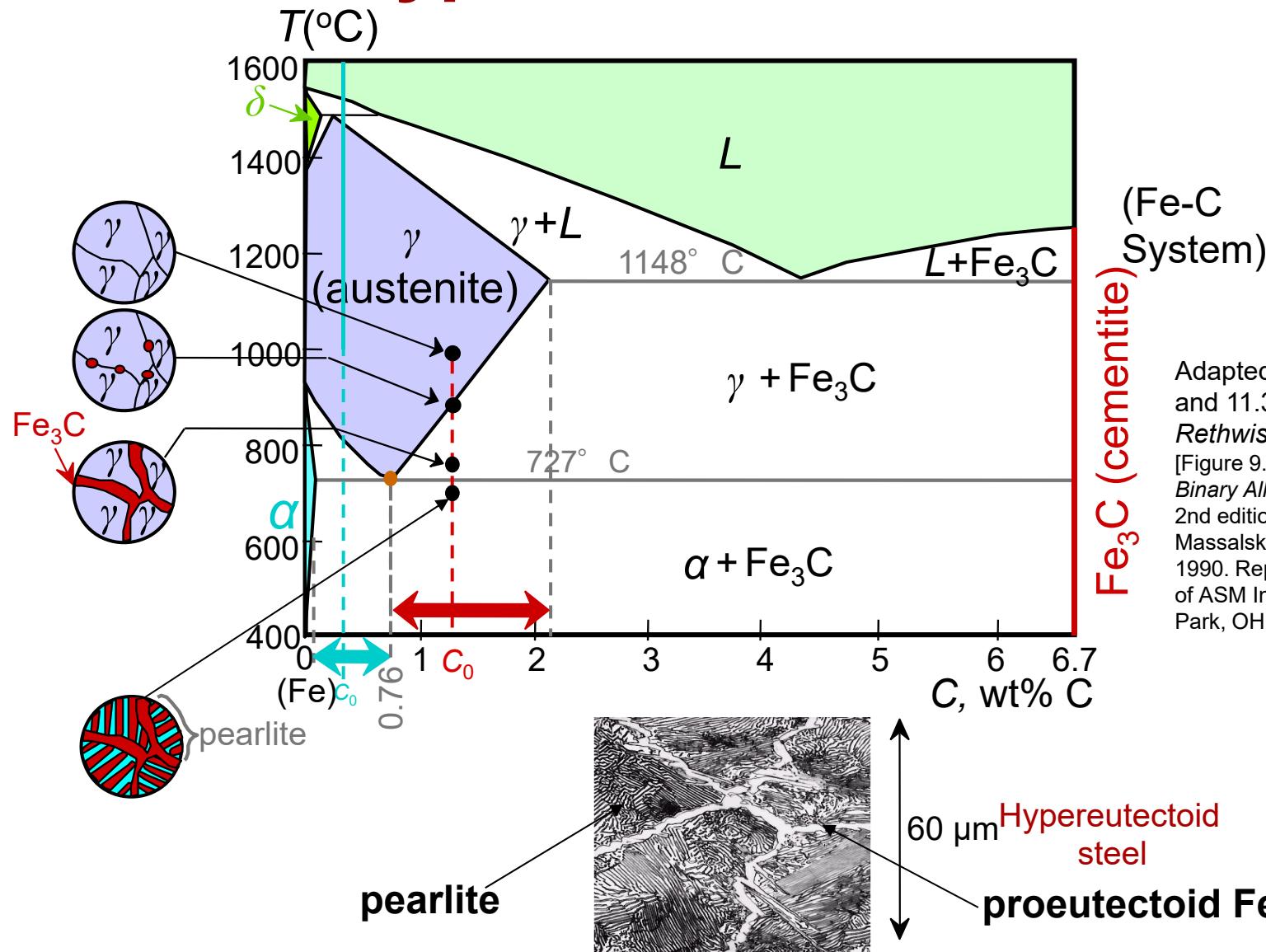
# Hypoeutectoid Steel



Adapted from Figs. 11.23 and 11.28, Callister & Rethwisch 9e.  
 [Figure 9.24 adapted from *Binary Alloy Phase Diagrams*, 2nd edition, Vol. 1, T. B. Massalski (Editor-in-Chief), 1990. Reprinted by permission of ASM International, Materials Park, OH.]

Adapted from Fig. 11.29, Callister & Rethwisch 9e.  
 (Photomicrograph courtesy of Republic Steel Corporation.)

# Hypereutectoid Steel

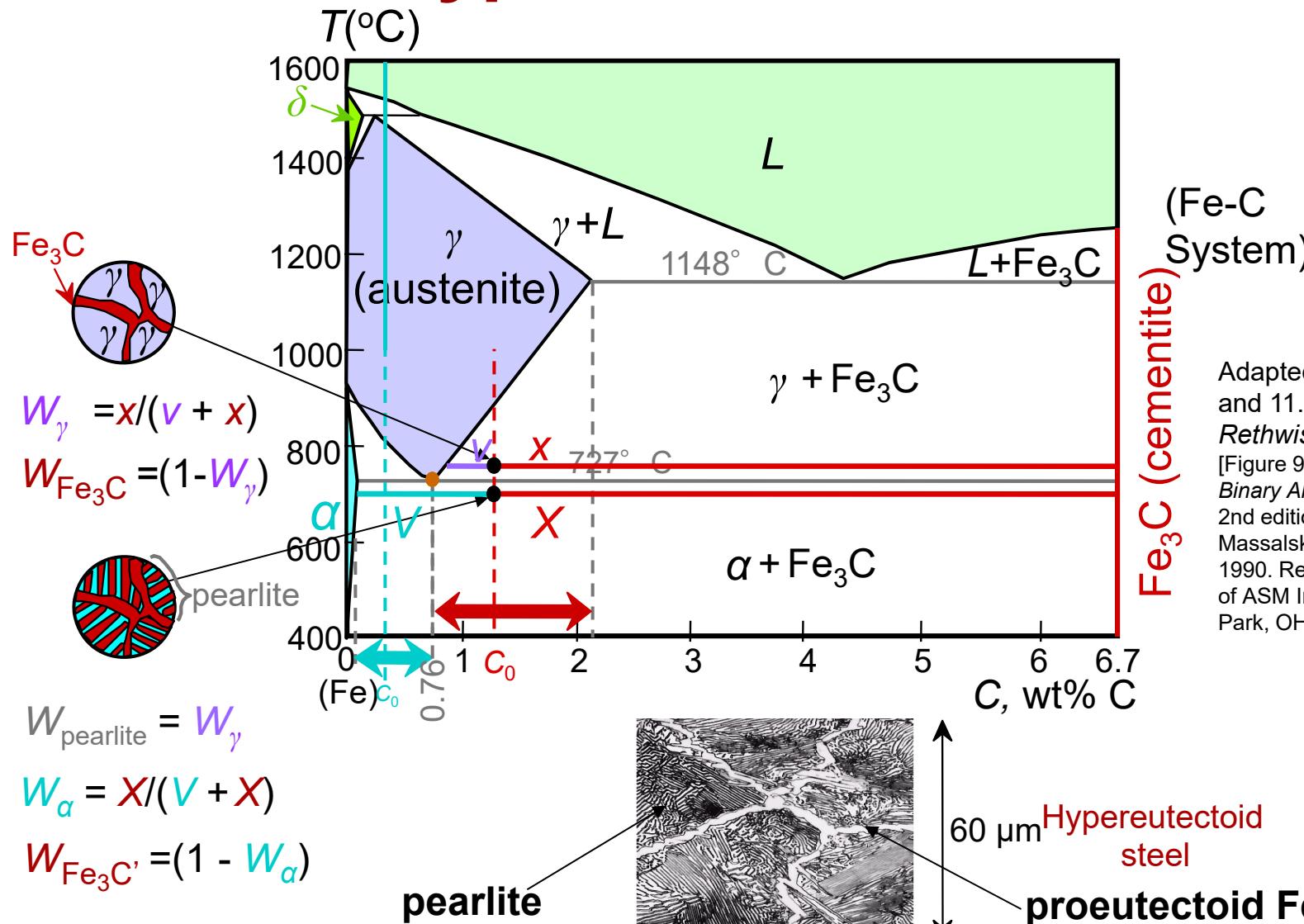


Adapted from Figs. 11.23 and 11.31, Callister & Rethwisch 9e.

[Figure 9.24 adapted from *Binary Alloy Phase Diagrams*, 2nd edition, Vol. 1, T. B. Massalski (Editor-in-Chief), 1990. Reprinted by permission of ASM International, Materials Park, OH.]

Adapted from Fig. 11.32, Callister & Rethwisch 9e.  
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# Hypereutectoid Steel



Adapted from Figs. 11.23 and 11.31, Callister & Rethwisch 9e.

[Figure 9.24 adapted from *Binary Alloy Phase Diagrams*, 2nd edition, Vol. 1, T. B. Massalski (Editor-in-Chief), 1990. Reprinted by permission of ASM International, Materials Park, OH.]

Adapted from Fig. 11.32, Callister & Rethwisch 9e.  
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# Example Problem

For a 99.6 wt% Fe-0.40 wt% C steel at a temperature just below the eutectoid, determine the following:

- a) The compositions of  $\text{Fe}_3\text{C}$  and ferrite ( $\alpha$ ).
- b) The amount of cementite (in grams) that forms in 100 g of steel.
- c) The amounts of pearlite and proeutectoid ferrite ( $\alpha$ ) in the 100 g.

# Solution to Example Problem

a) Using the *RS* tie line just below the eutectoid

$$C_\alpha = 0.022 \text{ wt% C}$$

$$C_{\text{Fe}_3\text{C}} = 6.70 \text{ wt% C}$$

b) Using the lever rule with the tie line shown

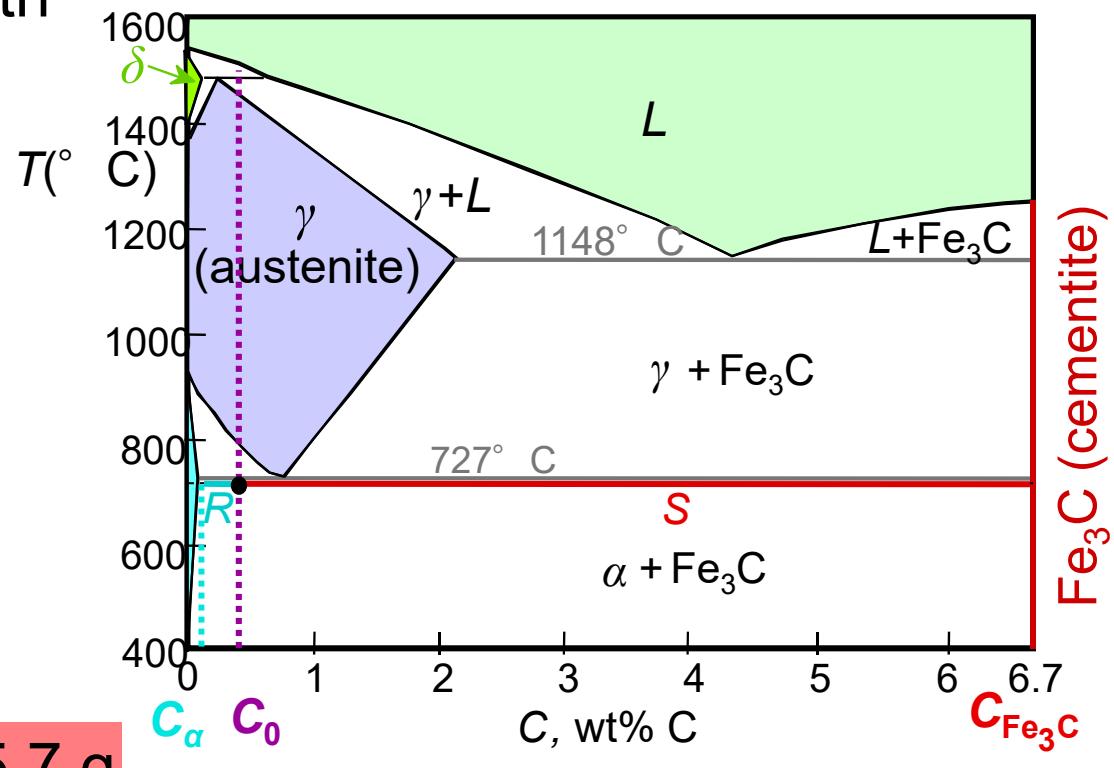
$$\begin{aligned} W_{\text{Fe}_3\text{C}} &= \frac{R}{R+S} = \frac{C_0 - C_\alpha}{C_{\text{Fe}_3\text{C}} - C_\alpha} \\ &= \frac{0.40 - 0.022}{6.70 - 0.022} = 0.057 \end{aligned}$$

Amount of  $\text{Fe}_3\text{C}$  in 100 g

$$= (100 \text{ g})W_{\text{Fe}_3\text{C}}$$

$$= (100 \text{ g})(0.057) = 5.7 \text{ g}$$

Fig. 11.23, Callister & Rethwisch 9e.  
[From *Binary Alloy Phase Diagrams*, 2nd edition, Vol. 1, T. B. Massalski (Editor-in-Chief), 1990. Reprinted by permission of ASM International, Materials Park, OH.]



## Solution to Example Problem (cont.)

- c) Using the  $VX$  tie line just above the eutectoid and realizing that

$$C_0 = 0.40 \text{ wt\% C}$$

$$C_\alpha = 0.022 \text{ wt\% C}$$

$$C_{\text{pearlite}} = C_\gamma = 0.76 \text{ wt\% C}$$

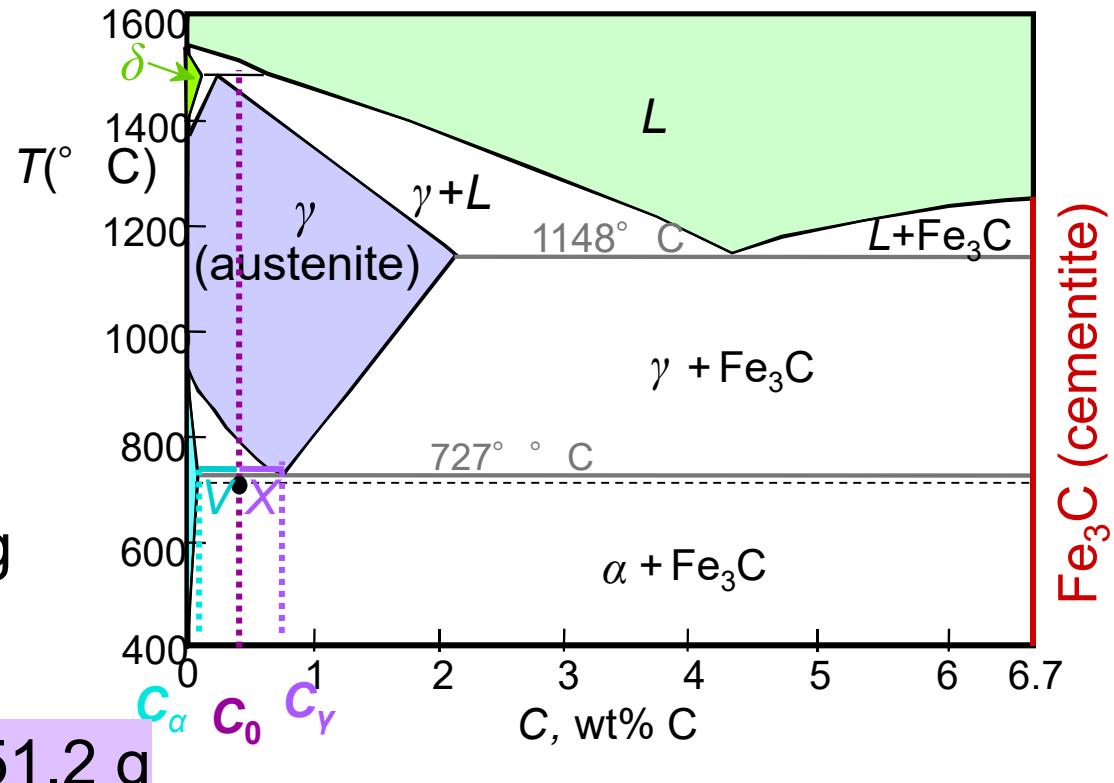
$$\begin{aligned} W_{\text{pearlite}} &= \frac{V}{V+X} = \frac{C_0 - C_\alpha}{C_\gamma - C_\alpha} \\ &= \frac{0.40 - 0.022}{0.76 - 0.022} = 0.512 \end{aligned}$$

Amount of pearlite in 100 g

$$= (100 \text{ g})W_{\text{pearlite}}$$

$$= (100 \text{ g})(0.512) = 51.2 \text{ g}$$

Fig. 11.23, Callister & Rethwisch 9e.  
[From *Binary Alloy Phase Diagrams*, 2nd edition, Vol. 1, T. B. Massalski (Editor-in-Chief), 1990. Reprinted by permission of ASM International, Materials Park, OH.]



# Alloying with Other Elements

- $T_{\text{eutectoid}}$  changes:

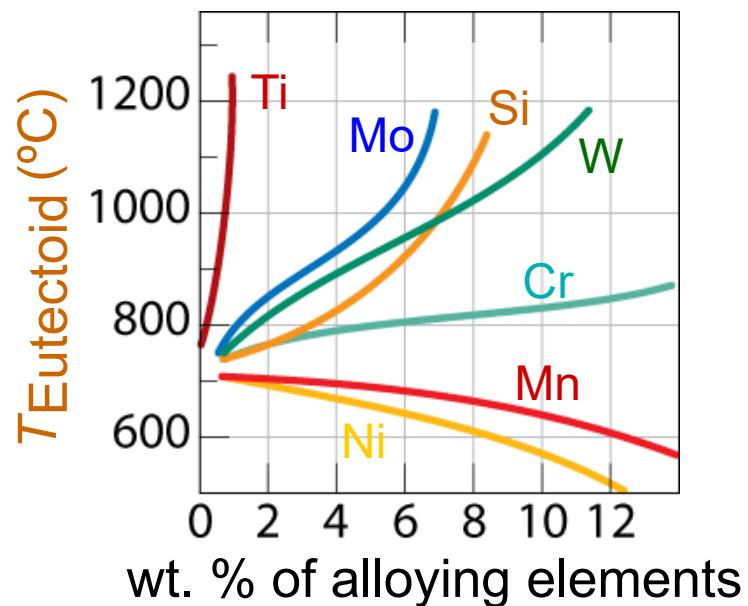


Fig. 11.33, Callister & Rethwisch 9e.  
(From Edgar C. Bain, *Functions of the Alloying Elements in Steel*, 1939. Reproduced by permission of ASM International, Materials Park, OH.)

- $C_{\text{eutectoid}}$  changes:

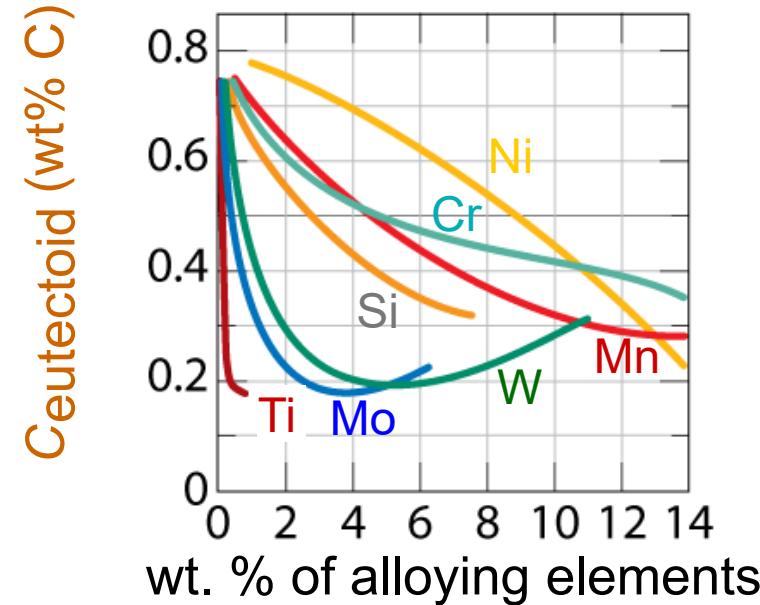


Fig. 11.34, Callister & Rethwisch 9e.  
(From Edgar C. Bain, *Functions of the Alloying Elements in Steel*, 1939. Reproduced by permission of ASM International, Materials Park, OH.)

# Summary

- Phase diagrams are useful tools to determine:
  - the number and types of phases present,
  - the composition of each phase,
  - and the weight fraction of each phase given the temperature and composition of the system.
- The microstructure of an alloy depends on
  - its composition, and
  - whether or not cooling rate allows for maintenance of equilibrium.
- Important phase diagram phase transformations include eutectic, eutectoid, and peritectic.